FIFTH EDITION

**BIOLOGICAL SCIENCE** 



# Brief Contents

**1** Biology and the Tree of Life 1

#### UNIT 1 THE MOLECULAR ORIGIN AND EVOLUTION OF LIFE 18

- 2 Water and Carbon: The Chemical Basis of Life 18
- **3** Protein Structure and Function 41
- 4 Nucleic Acids and the RNA World 57
- 5 An Introduction to Carbohydrates 72
- 6 Lipids, Membranes, and the First Cells 84

#### UNIT 2 CELL STRUCTURE AND FUNCTION 106

- 7 Inside the Cell 106
- 8 Energy and Enzymes: An Introduction to Metabolic Pathways 136
- **9** Cellular Respiration and Fermentation 154
- 10 Photosynthesis 176
- **11** Cell–Cell Interactions 200
- 12 The Cell Cycle 219

#### UNIT 3 GENE STRUCTURE AND EXPRESSION 237

- 13 Meiosis 237
- 14 Mendel and the Gene 256
- 15 DNA and the Gene: Synthesis and Repair 284
- 16 How Genes Work 304
- 17 Transcription, RNA Processing, and Translation 317
- **18** Control of Gene Expression in Bacteria 336
- 19 Control of Gene Expression in Eukaryotes 348
- 20 Analyzing and Engineering Genes 368
- 21 Genomics and Beyond 389

#### UNIT 4 DEVELOPMENTAL BIOLOGY 405

- 22 Principles of Development 405
- **23** An Introduction to Animal Development 419
- 24 An Introduction to Plant Development 432

#### UNIT 5 EVOLUTIONARY PROCESSES AND PATTERNS 444

- 25 Evolution by Natural Selection 444
- **26** Evolutionary Processes 465
- 27 Speciation 489
- **28** Phylogenies and the History of Life 505

#### UNIT 6 THE DIVERSIFICATION OF LIFE 528

- 29 Bacteria and Archaea 528
- 30 Protists 552
- 31 Green Algae and Land Plants 577
- 32 Fungi 612
- **33** An Introduction to Animals 636
- 34 Protostome Animals 657
- 35 Deuterostome Animals 681
- 36 Viruses 711

#### UNIT 7 HOW PLANTS WORK 731

- 37 Plant Form and Function 731
- 38 Water and Sugar Transport in Plants 754
- 39 Plant Nutrition 775
- 40 Plant Sensory Systems, Signals, and Responses 793
- 41 Plant Reproduction 822

#### UNIT 8 HOW ANIMALS WORK 842

- 42 Animal Form and Function 842
- 43 Water and Electrolyte Balance in Animals 861
- 44 Animal Nutrition 882
- 45 Gas Exchange and Circulation 902
- 46 Animal Nervous Systems 928
- 47 Animal Sensory Systems 952
- 48 Animal Movement 972
- 49 Chemical Signals in Animals 991
- 50 Animal Reproduction 1013
- **51** The Immune System in Animals 1037

#### UNIT 9 ECOLOGY 1059

- 52 An Introduction to Ecology 1059
- 53 Behavioral Ecology 1082
- 54 Population Ecology 1101
- 55 Community Ecology 1123
- 56 Ecosystems and Global Ecology 1148
- 57 Biodiversity and Conservation Biology 1172

# A Note from the Authors

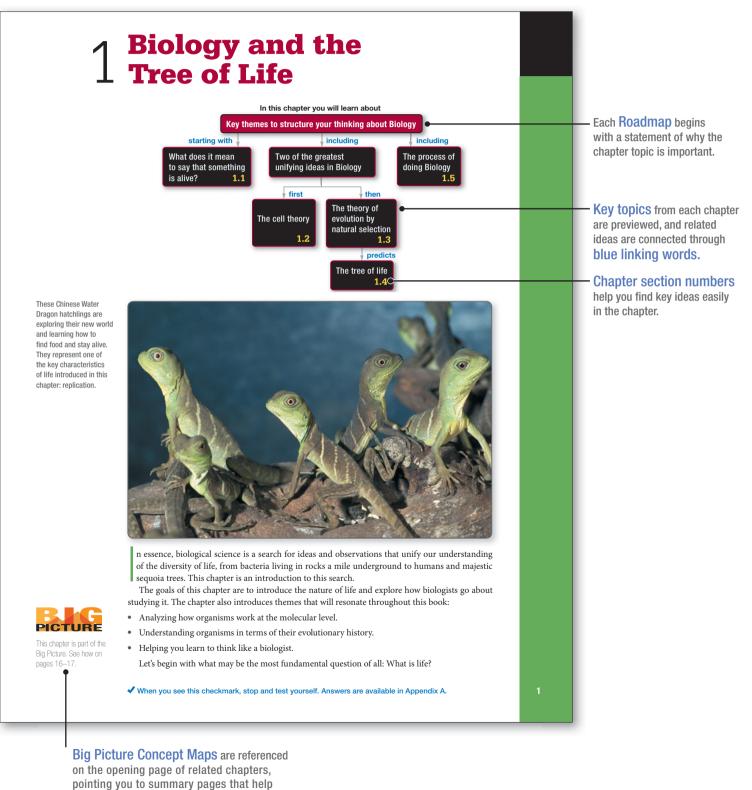
You are about to embark on an amazing journey of discovery. The study of life spans from the inner workings of cells to the complex interactions of entire ecosystems, through the information stored in DNA to the ways genetic information evolves over time. At the same time that our understanding of biology is growing in leaps and bounds, so too are great insights into how learners acquire new knowledge and skills. We are thrilled to join Scott Freeman on *Biological Science*, a book dedicated to active, research-based learning and to exploring the experimental evidence that informs what we know about biology. The next few pages highlight the features in this book and in MasteringBiology<sup>®</sup> that will help you succeed.



From left to right: Michael Black, Emily Taylor, Jon Monroe, Lizabeth Allison, Greg Podgorski, Kim Quillin

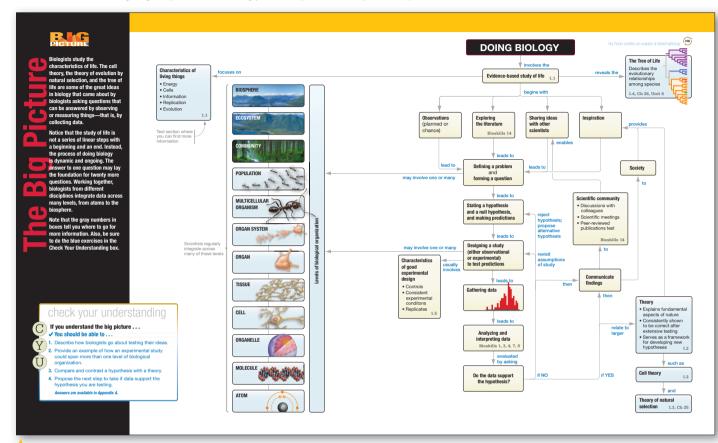
# To the Student: How to Use This Book

N ew chapter-opening Roadmaps visually group and organize information to help you anticipate key ideas as well as recognize meaningful relationships and connections between them.



you synthesize challenging topics.

**B** ig Picture Concept Maps integrate visuals and words to help you synthesize information about challenging topics in biology that span multiple chapters and units.



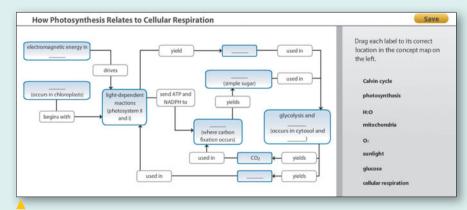
#### Three New Big Picture topics

have been added to the Fifth Edition:

- NEW! Doing Biology
- NEW! The Chemistry of Life
- Energy for Life
- Genetic Information
- Evolution
- NEW! Plant and Animal Form and Function
- Ecology

## **MasteringBiology**<sup>®</sup>

#### www.masteringbiology.com



To reinforce the book's Big Picture Concept Maps, your professor may assign Interactive Big Picture Concept Map tutorials.

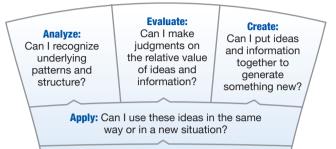
## Practice for success on tests and exams

**ntertwined color-coded "active learning threads**" are embedded in the text. The gold thread helps you to identify important ideas, and the blue thread helps you to test your understanding.



# Identify gaps in your understanding, then fill them

he Fifth Edition provides many opportunities for you to test yourself and offers helpful learning strategies.



Understand: Can I explain this concept in my own words?

Remember: Can I recall the key terms and ideas?



Most students have at one time or another wondered why a particular question on an exam seemed so hard, while others seemed easy. The explanation lies in the type of cognitive skills required to answer the question. Let's take a closer look.

#### NEW! BioSkill Covering

Bloom's Taxonomy helps

you to recognize question types using the Bloom's cognitive hierarchy, and it provides specific strategies to help you study for questions at all six levels.

#### Answer Appendix Includes Bloom's Taxonomy Information

Answers to all questions in the text now include the Bloom's level being tested. You can simultaneously practice assessing your understanding of content and recognizing Bloom's levels. Combining this information with the guidance in the BioSkill on Bloom's Taxonomy will help you form a plan to improve your study skills.

#### **Bloom's Taxonomy** describes six learning levels: Remember, Understand, Apply, Analyze, Evaluate, and Create. Questions in the book span all levels, including self-testing at the higher levels to help you develop higher-order thinking skills that will prepare you for exams.

#### Steps to Building Understanding

Each chapter ends with three groups of questions that build in difficulty:

#### **V**TEST YOUR KNOWLEDGE

Begin by testing your basic knowledge of new information.

#### **V**TEST YOUR UNDERSTANDING

Once you're confident with the basics, demonstrate your deeper understanding of the material.

#### TEST YOUR PROBLEM-SOLVING SKILLS

Work towards mastery of the content by answering questions that challenge you at the highest level of competency.

#### Test Your Problem-Solving Skills

13. analyze A scientific theory is not a guess—it is an idea whose validity can be tested with data. Both the cell theory and the theory of evolution have been validated by large bodies of observational and experimental data.
14. analyze If all eukaryotes living today have a nucleus, then it is logical to conclude that the nucleus arose in a common ancestor of all eukaryotes, indicated by the arrow you should have added to the figure. See FIGURE A1.2. If it had arisen in a common ancestor of Bacteria or Archaea, then species in those groups would have had to lose the trait—an unlikely event.
15. evaluate The data set was so large and diverse that it was no longer reasonable to argue that noncellular lifeforms would be discovered.

### **MasteringBiology**®

www.masteringbiology.com

**NEW! End-of-chapter questions** from the book are now available for your professor to assign as homework in MasteringBiology.

# Practice scientific thinking and scientific skills

unique emphasis on the **process of scientific discovery and experimental design** teaches you how to think like a scientist as you learn fundamental biology concepts.

#### RESEARCH

### QUESTION: Do horses minimize the cost of locomotion?

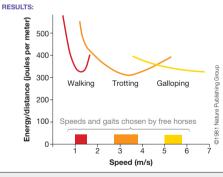
HYPOTHESIS: Horses choose gaits that minimize energy use at different speeds. NULL HYPOTHESIS: Horses do not choose gaits based on cost of locomotion.

#### EXPERIMENTAL SETUP

1. Measure oxygen consumption of horses trained to walk, trot, and gallop at a range of speeds on a treadmill. Calculate energy used per distance travelled at different speeds.



PREDICTION OF NULL HYPOTHESIS: There will be no correlation between chosen gaits and energy consumption.



#### **CONCLUSION:** Horses choose gaits that minimize energy use at different speeds and avoid speeds with high energy consumption.

FIGURE 48.16 Horses Minimize the Cost of Locomotion by Choosing Appropriate Gaits. SOURCE: Hoyt, D. F., and C. R. Taylor. 1981. Gait and the energetics of locomotion in horses. Nature 292: 293–240. ✓QUANTITATIVE Use the graph to estimate the relative energy

expense of galloping rather than trotting at 3.5 meters/second (m/s).

All of the **Research Boxes** cite the original research paper and include a question that asks you to analyze the design of the experiment or study.

**Research Boxes** explain how research studies are designed and give you additional practice interpreting data. Each Research Box consistently models the scientific method, presenting the research question, hypotheses, experimental setup, predictions, results, and conclusion. 15 Research Boxes are new to the Fifth Edition.

### MasteringBiology<sup>®</sup>

www.masteringbiology.com



#### NEW! Solve It Tutorials

are available for homework assignments in MasteringBiology and give you an opportunity to work like a scientist through a simulated investigation that requires you to analyze and interpret data.

**Experimental Inquiry Tutorials** based on some of biology's most seminal experiments give you a chance to analyze data and the reasoning that led scientists from the data to their conclusions.

#### Experimental Inquiry tutorial topics include:

- What Can You Learn About the Process of Science from Investigating a Cricket's Chirp?
- Which Wavelengths of Light Drive Photosynthesis?
- What Is the Inheritance Pattern of Sex-Linked Traits?
- Does DNA Replication Follow the Conservative, Semiconservative, or Dispersive Model?
- How Do Calcium lons Help to Prevent Polyspermy During Egg Fertilization?

- Did Natural Selection of Ground Finches Occur When the Environment Changed?
- What Effect Does Auxin Have on Coleoptile Growth?
- What Role Do Genes Play in Appetite Regulation?
- Can a Species' Niche Be Influenced by Interspecific Competition?
- What Factors Influence the Loss of Nutrients from a Forest Ecosystem?

Build important skills scientists use to perform, evaluate, and communicate scientific research.

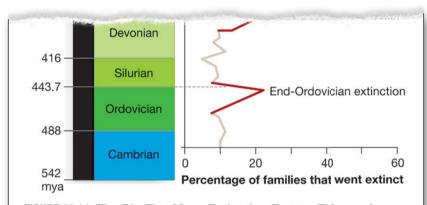


FIGURE 28.14 The Big Five Mass Extinction Events. This graph shows the percentage of lineages called families that went extinct over each interval in the fossil record since the Cambrian explosion. Over 50 percent of families and 90 percent of species went extinct during the end-Permian extinction. DATA: Benton, M. J., 1995. *Science* 268: 52–58.

✓ **QUANTITATIVE** Which extinction event ended the era of the dinosaurs 65 million years ago? About what percentage of families went extinct? NEW! Graphs and tables now include their data sources, emphasizing the research process that leads to our understanding of biological ideas.

NEW! Quantitative questions are identified throughout the text, helping you practice computational problem solving and data analysis.

Expanded BioSkills Appendix helps you build skills that will be important to your success in biology. At relevant points in the text, you'll find references to the BioSkills appendix that will help you learn and practice foundational skills.

#### **BioSkills Topics include:**

- The Metric System and Significant Figures
- Some Common Latin and Greek Roots Used in Biology
- · Reading Graphs
- Using Statistical Tests and Interpreting Standard Error Bars
- Combining Probabilities

- Using Logarithms
- Reading a Phylogenetic Tree
- Reading Chemical Structures
- Separating and Visualizing Molecules
- Separating Cell Components by Centrifugation
- Biological Imaging: Microscopy and X-ray Crystallography
- Cell and Tissue Culture Methods
- Model Organisms
- NEW! Primary Literature
   and Peer Review
- Making Concept Maps
- NEW! Using Bloom's Taxonomy

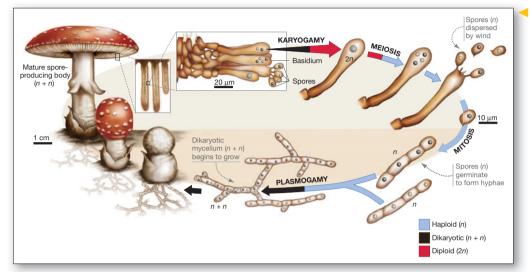
## MasteringBiology<sup>®</sup>

www.masteringbiology.com

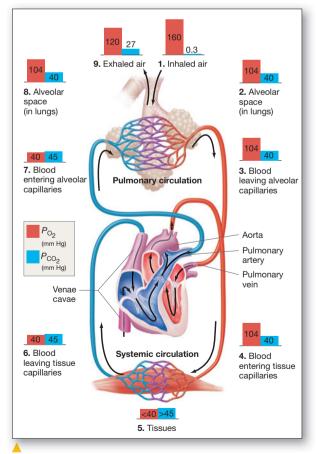
You can access self-paced **BioSkills activities** in the Study Area, and your instructor can assign additional activities in MasteringBiology.

# Visualize biology processes and structures

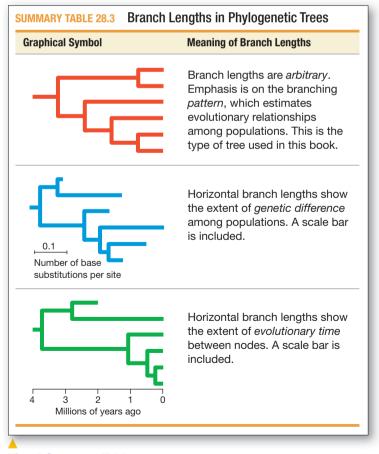
**A** carefully crafted visual program helps you gain a better understanding of biology through accurate, appropriately detailed figures.



#### NEW! Redesigned Life Cycle diagrams in Unit 6 and 7 help you compare and contrast processes among different organisms.



**Informative figures** help you think through complex biological processes in manageable steps.



Visual Summary Tables pull together important information in a format that allows for easy comparison and review.

### **For Instructors**

#### Instructor Resource DVD-ROM

978-0-321-86112-2 • 0-321-86112-4 Everything you need for lectures in one place, including video segments that demonstrate how to incorporate active-learning techniques into your own classroom. Enhanced menus make locating and assessing the digital resources for each chapter easy. The Instructor Resource CD/DVD-ROM includes PowerPoint<sup>®</sup> Lecture Outlines that integrate figures and animations for classroom presentations. All textbook figures, art, and photos are in JPEG format, and all PowerPoint slides and JPEGs have editable labels. Over 300 Instructor Animations accurately depict complex topics and dynamic processes described in the book.

#### Instructor Guide (Download only)

Available in the instructor resource area of MasteringBiology."

#### TestGen<sup>®</sup> (Download only)

All of the exam questions in the Test Bank have been peer reviewed and student tested, providing questions that set the standard for quality and accuracy. To improve the Test Bank, Metadata from MasteringBiology users has been incorporated directly into the software. Test questions that are ranked according to Bloom's taxonomy and improved TestGen\* software makes assembling tests that much easier.

### **For Students**

Study Guide

**978-0-321-85832-0 • 0-321-85832-8** The Study Guide presents a breakdown of key biological concepts, difficult topics, and quizzes to help students prepare for exams. Unique to this study guide are four introductory, stand-alone chapters that introduce students to foundational ideas and skills necessary for classroom success: Introduction to Experimentation and Research in the Biological Sciences, Presenting Biological Data, Understanding Patterns in Biology and Improving Study Techniques, and Reading and Writing to Understand Biology. "Looking Forward" and "Looking Back" sections help students make connections across the chapters instead of viewing them as discrete entities.

#### Practicing Biology: A Student Workbook 978-0-321-88647-7 • 0-321-88647-X

This workbook focuses on key ideas, principles, and concepts that are fundamental to understanding biology. A variety of hands-on activities such as mapping and modeling suit different learning styles and help students discover which topics they need more help on. Students learn biology by doing biology. An instructors guide can be downloaded from the Instructor Area of MasteringBiology.

## **Mastering**Biology<sup>®</sup>

A sateringBiology is an online homework, tutorial, and assessment system that delivers self-paced tutorials that provide individualized coaching, focus on your course objectives, and respond to each student's progress. The Mastering system helps instructors maximize class time with customizable, easy-to-assign, and automatically graded assessments that motivate students to learn outside of class and arrive prepared for lecture. MasteringBiology is also available with a complete Pearson eText edition of *Biological Science*.

Highlights of the Fifth Edition Item Library include:

- \* **NEW! assignment options** include Solve It activities, end-of-chapter problems, and questions that accompany new BioSkills and new Big Picture Interactive Concept Maps.
- \* NEW! "best of" homework pre-built assignments help professors assign popular, key content quickly, including a blend of tutorials, end-of-chapter problems, and test bank questions.

#### www.masteringbiology.com

\* *Get Ready for Biology* and Chemistry Review assignment options help students get up to speed with activities that review chemistry, mathematics, and basic biology.

### MasteringBiology® Virtual Labs

#### 978-0-321-88644-6 • 0-321-88644-5

MasteringBiology: Virtual Labs is an online environment that promotes critical-thinking skills using virtual experiments and explorations that might be difficult to perform in a wet-lab environment due to time, cost, or safety concerns. MasteringBiology: Virtual Labs offers unique learning experiences in the areas of microscopy, molecular biology, genetics, ecology, and systematics.

For more information, please visit www.pearsonhighered.com/virtualbiologylabs *This page intentionally left blank* 

# **BIOLOGICAL SCIENCE**

### After you explore this book ... you should be able to ...

• Pose an evolutionary hypothesis to explain why meter-long male water dragons are larger and have more colorful throats than the females.

• Propose how DNA sequences could be used to determine the relationships among populations of these lizards in China, India, and Southeast Asia.

• Design an experiment to study the relative importance of swimming, tree climbing, and running to the ability of these semi-aquatic lizards to find food and escape from predators.

• Create questions of your own and suggest methods for finding the answers!

Chinese Water Dragon, Physignathus cocincinus

# BIOLOGICAL SCIENCE FIFTH EDITION

SCOTT FREEMAN

University of Washington

LIZABETH ALLISON

College of William & Mary

**MICHAEL BLACK** California Polytechnic State University in San Luis Obispo

> **GREG PODGORSKI** *Utah State University*

> > **KIM QUILLIN** Salisbury University

**JON MONROE** James Madison University

**EMILY TAYLOR** *California Polytechnic State University in San Luis Obispo* 





Boston Columbus Indianapolis New York San Francisco Upper Saddle River Amsterdam Cape Town Dubai London Madrid Milan Munich Paris Montréal Toronto Delhi Mexico City São Paulo Sydney Hong Kong Seoul Singapore Taipei Tokyo Editor-in-Chief: Beth Wilbur Senior Acquisitions Editor: Michael Gillespie Executive Director of Development: Deborah Gale Senior Development Editor: Sonia DiVittorio Project Editor: Anna Amato Development Editors: Mary Catherine Hager, Moira Lerner Nelson, Bill O'Neal Art Development Editors: Fernanda Oyarzun, Adam Steinberg Associate Editor: Brady Golden Assistant Editor: Leslie Allen Editorial Assistant: Eddie Lee Executive Media Producer: Laura Tommasi Media Producer: Joseph Mochnick Associate Media Producer: Daniel Ross Associate Media Project Manager: David Chavez Text Permissions Project Manager: Joseph Croscup Text Permissions Specialist: Sheri Gilbert Director of Production: Erin Gregg Managing Editor: Michael Early

Production Project Manager: Lori Newman Production Management: S4Carlisle Publishing Services Copyeditor: Christianne Thillen Compositor: S4Carlisle Publishing Services Design Manager: Mark Ong Interior Designer: Integra Software Services Cover Designer: tt eye **Illustrators:** Imagineering Media Services Photo Permissions Management: Phutu Photo Researchers: Kristin Piljay, Eric Schrader, Maureen Spuhler Senior Photo Editor: Travis Amos Manufacturing Buyer: Michael Penne Director of Marketing: Christy Lesko Executive Marketing Manager: Lauren Harp Sales Director for Key Markets: David Theisen Cover Photo Credit: Physignathus cocincinus

Credits and acknowledgments for materials borrowed from other sources and reproduced, with permission, in this textbook appear on the appropriate page within the text or beginning on page C:1 of the backmatter.

Eric Isselée/Fotolia

Copyright ©2014, 2011, 2008 Pearson Education, Inc. All rights reserved. Manufactured in the United States of America. This publication is protected by Copyright, and permission should be obtained from the publisher prior to any prohibited reproduction, storage in a retrieval system, or transmission in any form or by any means, electronic, mechanical, photocopying, recording, or likewise. To obtain permission(s) to use material from this work, please submit a written request to Pearson Education, Inc., Permissions Department, 1900 E. Lake Ave., Glenview, IL 60025. For information regarding permissions, call (847) 486-2635.

Readers may view, browse, and/or download material for temporary copying purposes only, provided these uses are for noncommercial personal purposes. Except as provided by law, this material may not be further reproduced, distributed, transmitted, modified, adapted, performed, displayed, published, or sold in whole or in part, without prior written permission from the publisher.

Many of the designations used by manufacturers and sellers to distinguish their products are claimed as trademarks. Where those designations appear in this book, and the publisher was aware of a trademark claim, the designations have been printed in initial caps or all caps.

Benjamin Cummings is a trademark, in the U.S. and/or other countries, of Pearson Education, Inc. or its affiliates.

#### Library of Congress Cataloging-in-Publication Data

Freeman, Scott, 1955- Biological science / Scott Freeman.—Fifth edition. pages cm
ISBN-13: 978-0-321-74367-1 (student edition)
ISBN-10: 0-321-74367-9 (student edition)
ISBN-13: 978-0-321-84159-9 (instructors review copy)
ISBN-10: 0-321-84159-X (instructors review copy) [etc.]
Biology—Textbooks. I. Title. QH308.2.F73 2014
570—dc23

1 2 3 4 5 6 7 8 9 10-CRK-16 15 14 13 12



www.pearsonhighered.com

ISBN 10: 0-321-74367-9; ISBN 13: 978-0-321-74367-1 (Student Edition) ISBN 10: 0-321-84159-X; ISBN 13: 978-0-321-84159-9 (Instructor's Review Copy) ISBN 10: 0-321-86216-3; ISBN 13: 978-0-321-86216-7 (Books a la Carte Edition) ISBN 10: 0-321-84180-8; ISBN 13: 978-0-321-84180-3 (Volume 1) ISBN 10: 0-321-84181-6; ISBN 13: 978-0-321-84181-0 (Volume 2) ISBN 10: 0-321-84182-4; ISBN 13: 978-0-321-84182-7 (Volume 3)

# Detailed Contents

### 1 Biology and the Tree of Life

- 1.1 What Does It Mean to Say That Something Is Alive? 2
- 1.2 The Cell Theory 2
   All Organisms Are Made of Cells 2
   Where Do Cells Come From? 3
- 1.3 The Theory of Evolution by Natural Selection 5 What Is Evolution? 5 What Is Natural Selection? 5

1.4 The Tree of Life 6Using Molecules to Understand the Tree of Life 6How Should We Name Branches on the Tree of Life? 8

#### 1.5 Doing Biology 9

The Nature of Science 9

Why Do Giraffes Have Long Necks? An Introduction to Hypothesis Testing 9

How Do Ants Navigate? An Introduction to Experimental Design 11

CHAPTER REVIEW 14

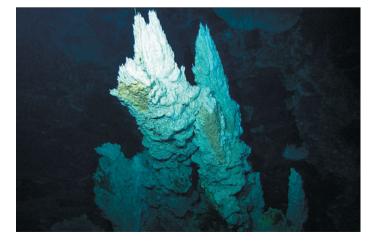
PICTURE DOING BIOLOGY 16

#### UNIT 1 THE MOLECULAR ORIGIN AND EVOLUTION OF LIFE 18

- 2 Water and Carbon: The Chemical Basis of Life 18
- 2.1 Atoms, Ions, and Molecules: The Building Blocks of Chemical Evolution 19

Basic Atomic Structure 19

How Does Covalent Bonding Hold Molecules Together?21Ionic Bonding, Ions, and the Electron-Sharing Continuum22Some Simple Molecules Formed from C, H, N, and O23



The Geometry of Simple Molecules 23 Representing Molecules 24

- 2.2 Properties of Water and the Early Oceans 25 Why Is Water Such an Efficient Solvent? 25 What Properties Are Correlated with Water's Structure? 26 The Role of Water in Acid–Base Reactions 28
- 2.3 Chemical Reactions, Energy, and Chemical Evolution 30

  How Do Chemical Reactions Happen?
  30
  What Is Energy?
  31
  What Makes a Chemical Reaction Spontaneous?
  31
- 2.4 Investigating Chemical Evolution: Approaches and Model Systems 32 Early Origin-of-Life Experiments 33 Recent Origin-of-Life Experiments 34
   2.5 Early Origin-of-Life Experiments 34
- 2.5 The Importance of Organic Molecules 36 Linking Carbon Atoms Together 36 Functional Groups 37
   CHAPTER REVIEW 38
- 3 Protein Structure and Function 41
- Amino Acids and Their Polymerization 42 The Structure of Amino Acids 42 The Nature of Side Chains 42 How Do Amino Acids Link to Form Proteins? 44
- **3.2 What Do Proteins Look Like?** 47 Primary Structure 48 Secondary Structure 48 Tertiary Structure 49 Quaternary Structure 50
- **3.3 Folding and Function** 52 Normal Folding Is Crucial to Function 52 Protein Shape Is Flexible 53
- 3.4 Proteins Are the Most Versatile Macromolecules in Cells 54
   Why Are Enzymes Good Catalysts? 54
   Was the First Living Entity a Protein Catalyst? 55
   CHAPTER REVIEW 55
- 4 Nucleic Acids and the RNA World 57
- What Is a Nucleic Acid? 58
   Could Chemical Evolution Result in the Production of Nucleotides? 59
   How Do Nucleotides Polymerize to Form Nucleic Acids? 59
- 4.2 DNA Structure and Function 61
   What Is the Nature of DNA's Secondary Structure? 61
   DNA Functions as an Information-Containing Molecule 64

Is DNA a Catalytic Molecule? 64

- **4.3 RNA Structure and Function** 65
   Structurally, RNA Differs from DNA 65
   RNA's Structure Makes It an Extraordinarily Versatile
   Molecule 66
   RNA Is an Information-Containing Molecule 67
   RNA Can Function as a Catalytic Molecule 67
- 4.4 In Search of the First Life-Form 68
   How Biologists Study the RNA World 68
   The RNA World May Have Sparked the Evolution of Life 69
   CHAPTER REVIEW 69
- 5 An Introduction to Carbohydrates 72
- 5.1 Sugars as Monomers 73
   What Distinguishes One Monosaccharide from Another? 73
   Monosaccharides and Chemical Evolution 74
- 5.2 The Structure of Polysaccharides 75
  - Starch: A Storage Polysaccharide in Plants 76 Glycogen: A Highly Branched Storage Polysaccharide in Animals 76

Cellulose: A Structural Polysaccharide in Plants 76 Chitin: A Structural Polysaccharide in Fungi and Animals 76 Peptidoglycan: A Structural Polysaccharide in Bacteria 76 Polysaccharides and Chemical Evolution 78

#### 5.3 What Do Carbohydrates Do? 78

Carbohydrates Can Provide Structural Support 78 The Role of Carbohydrates in Cell Identity 78 Carbohydrates and Energy Storage 80 CHAPTER REVIEW 81

- 6 Lipids, Membranes, and the First Cells 84
- 6.1 Lipid Structure and Function 85 Bond Saturation Is an Important Aspect of Hydrocarbon Structure 85 A Look at Three Types of Lipids Found in Cells 86 The Structures of Membrane Lipids 87 Were Lipids Present during Chemical Evolution? 88

#### 6.2 Phospholipid Bilayers 88

Artificial Membranes as an Experimental System 88
Selective Permeability of Lipid Bilayers 89
How Does Lipid Structure Affect Membrane Permeability? 90
How Does Temperature Affect the Fluidity and Permeability of Membranes? 90

6.3 How Molecules Move across Lipid Bilayers: Diffusion and Osmosis 91 Diffusion 91 Osmosis 92 Membranes and Chemical Evolution 93

#### 6.4 Membrane Proteins 94

Development of the Fluid-Mosaic Model94Systems for Studying Membrane Proteins96Facilitated Diffusion via Channel Proteins96Facilitated Diffusion via Carrier Proteins99Pumps Perform Active Transport99

Plasma Membranes and the Intracellular Environment 100 CHAPTER REVIEW 102

THE CHEMISTRY OF LIFE 104

#### UNIT 2 CELL STRUCTURE AND FUNCTION 106

#### 7 Inside the Cell 106

- 7.1 Bacterial and Archaeal Cell Structures and Their Functions 107

   A Revolutionary New View 107
   Prokaryotic Cell Structures: A Parts List 107
- 7.2 Eukaryotic Cell Structures and Their Functions 110 The Benefits of Organelles 110 Eukaryotic Cell Structures: A Parts List 110
- 7.3 Putting the Parts into a Whole 118 Structure and Function at the Whole-Cell Level 118 The Dynamic Cell 118
- 7.4 Cell Systems I: Nuclear Transport 119
   Structure and Function of the Nuclear Envelope 119
   How Do Large Molecules Enter the Nucleus? 120
- 7.5 Cell Systems II: The Endomembrane System Manufactures, Ships, and Recycles Cargo 121 Studying the Pathway through the Endomembrane System 121
  Entering the Endomembrane System: The Signal Hypothesis 123
  Moving from the ER to the Golgi 124
  What Happens Inside the Golgi Apparatus? 124
  How Do Proteins Reach Their Destinations? 125
  Recycling Material in the Lysosome 126
- 7.6 Cell Systems III: The Dynamic Cytoskeleton 127 Actin Filaments 127 Intermediate Filaments 129 Microtubules 129 Flagella and Cilia: Moving the Entire Cell 131 CHAPTER REVIEW 133
- 8 Energy and Enzymes: An Introduction to Metabolic Pathways 136
- 8.1 What Happens to Energy in Chemical Reactions? 137 Chemical Reactions Involve Energy Transformations 137 Temperature and Concentration Affect Reaction Rates 139
- 8.2 Nonspontaneous Reactions May Be Driven Using Chemical Energy 139 Redox Reactions Transfer Energy via Electrons 141 ATP Transfers Energy via Phosphate Groups 143
- 8.3 How Enzymes Work 144
  Enzymes Help Reactions Clear Two Hurdles 144
  What Limits the Rate of Catalysis? 147
  Do Enzymes Work Alone? 147
- 8.4 What Factors Affect Enzyme Function? 148
   Enzymes Are Optimized for Particular Environments 148
   Most Enzymes Are Regulated 149

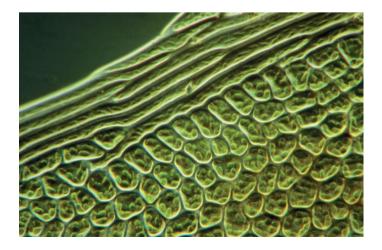
 8.5 Enzymes Can Work Together in Metabolic Pathways 150 Metabolic Pathways Are Regulated 150 Metabolic Pathways Evolve 150
 CHAPTER REVIEW 152

### 9 Cellular Respiration and Fermentation 154

- 9.1 An Overview of Cellular Respiration 155
   What Happens When Glucose Is Oxidized? 155
   Cellular Respiration Plays a Central Role in Metabolism 156
- **9.2 Glycolysis: Processing Glucose to Pyruvate** 158 Glycolysis Is a Sequence of 10 Reactions 159 How Is Glycolysis Regulated? 159
- 9.3 Processing Pyruvate to Acetyl CoA 161
- 9.4 The Citric Acid Cycle: Oxidizing Acetyl CoA to CO<sub>2</sub> 162 How Is the Citric Acid Cycle Regulated? 162 What Happens to the NADH and FADH<sub>2</sub>? 164
- 9.5 Electron Transport and Chemiosmosis:
  Building a Proton Gradient to Produce ATP 166 The Electron Transport Chain 166 The Discovery of ATP Synthase 168 The Chemiosmosis Hypothesis 169 Organisms Use a Diversity of Electron Acceptors 170
- 9.6 Fermentation 172 CHAPTER REVIEW 173

### 10 Photosynthesis 176

- 10.1 Photosynthesis Harnesses Sunlight to Make Carbohydrate 177 Photosynthesis: Two Linked Sets of Reactions 177 Photosynthesis Occurs in Chloroplasts 178
- How Do Pigments Capture Light Energy? 179
   Photosynthetic Pigments Absorb Light 179
   When Light Is Absorbed, Electrons Enter an Excited State 182
- **10.3 The Discovery of Photosystems I and II** 184 How Does Photosystem II Work? 184



How Does Photosystem I Work? 186 The Z Scheme: Photosystems II and I Work Together 187

#### 10.4 How Is Carbon Dioxide Reduced to Produce Sugars? 190 The Calvin Cycle Fixes Carbon 190 The Discovery of Rubisco 192 Oxygen and Carbon Dioxide Pass through Stomata 192 Mechanisms for Increasing CO<sub>2</sub> Concentration 193 How Is Photosynthesis Regulated? 195 What Happens to the Sugar That Is Produced by Photosynthesis? 195 CHAPTER REVIEW 196

ENERGY FOR LIFE 198

### 11 Cell–Cell Interactions 200

- 11.1 The Cell Surface 201
   The Structure and Function of an Extracellular
   Layer 201
   The Cell Wall in Plants 201
   The Extracellular Matrix in Animals 202
- How Do Adjacent Cells Connect and Communicate? 204
   Cell-Cell Attachments in Multicellular Eukaryotes 204
   Cells Communicate via Cell-Cell Gaps 207
- How Do Distant Cells Communicate? 209
   Cell-Cell Signaling in Multicellular Organisms 209
   Signal Reception 210
   Signal Processing 210
   Signal Response 214
   Signal Deactivation 214
   Crosstalk: Synthesizing Input from Many Signals 215
- 11.4 Signaling between Unicellular Organisms 215 Responding to Sex Pheromones 215 Responding to Population Density 216
   CHAPTER REVIEW 217

### $12 \, {\rm The} \, {\rm Cell} \, {\rm Cycle} \quad {\scriptstyle 219} \quad$

- 12.1 How Do Cells Replicate? 220
  What Is a Chromosome? 220
  Cells Alternate between M Phase and Interphase 220
  The Discovery of S Phase 220
  The Discovery of the Gap Phases 221
  The Cell Cycle 222
- 12.2 What Happens during M Phase? 223
  Events in Mitosis 223
  How Do Chromosomes Move during Anaphase? 226
  Cytokinesis Results in Two Daughter Cells 227
- 12.3Control of the Cell Cycle229The Discovery of Cell-Cycle Regulatory Molecules229Cell-Cycle Checkpoints Can Arrest the Cell Cycle231
- 12.4 Cancer: Out-of-Control Cell Division 232 Properties of Cancer Cells 233 Cancer Involves Loss of Cell-Cycle Control 233 CHAPTER REVIEW 235

#### UNIT 3 GENE STRUCTURE AND EXPRESSION 237

### 13 Meiosis 237

- 13.1 How Does Meiosis Occur? 238
  Chromosomes Come in Distinct Sizes and Shapes 238
  The Concept of Ploidy 238
  An Overview of Meiosis 239
  The Phases of Meiosis I 242
  The Phases of Meiosis II 244
  A Closer Look at Synapsis and Crossing Over 246
- 13.2 Meiosis Promotes Genetic Variation 247 Chromosomes and Heredity 247 The Role of Independent Assortment 247 The Role of Crossing Over 248 How Does Fertilization Affect Genetic Variation? 248
- 13.3 What Happens When Things Go Wrong in Meiosis? 249 How Do Mistakes Occur? 249 Why Do Mistakes Occur? 250
- 13.4 Why Does Meiosis Exist? 251 The Paradox of Sex 251 The Purifying Selection Hypothesis 252 The Changing-Environment Hypothesis 253 CHAPTER REVIEW 253

### 14 Mendel and the Gene 256

- 14.1 Mendel's Experimental System 257 What Questions Was Mendel Trying to Answer? 257 The Garden Pea Served as the First Model Organism in Genetics 257
- 14.2 Mendel's Experiments with a Single Trait 259 The Monohybrid Cross 259 Particulate Inheritance 261
- **14.3 Mendel's Experiments with Two Traits** 263 The Dihybrid Cross 263 Using a Testcross to Confirm Predictions 265
- 14.4 The Chromosome Theory of Inheritance 266 Meiosis Explains Mendel's Principles 266 Testing the Chromosome Theory 267



#### 14.5 Extending Mendel's Rules 269

Linkage: What Happens When Genes Are Located on the Same Chromosome? 270
How Many Alleles Can a Gene Have? 271
Are Alleles Always Dominant or Recessive? 272
Does Each Gene Affect Just One Trait? 272
Is There More to Phenotype than Genotype? 273
QUANTITATIVE METHODS 14.1 Linkage 274
Can Mendel's Principles Explain Traits That Don't Fall into Distinct Categories? 275

#### 14.6 Applying Mendel's Rules to Human Inheritance 277

Identifying Human Alleles as Recessive or Dominant 277 Identifying Human Traits as Autosomal or Sex-Linked 278 CHAPTER REVIEW 279

### 15 DNA and the Gene: Synthesis and Repair 284

- 15.1 What Are Genes Made Of? 285
   The Hershey–Chase Experiment 285
   The Secondary Structure of DNA 286
- **15.2 Testing Early Hypotheses about DNA Synthesis** 287 Three Alternative Hypotheses 287 The Meselson–Stahl Experiment 289
- 15.3 A Model for DNA Synthesis 289 How Does Replication Get Started? 290 How Is the Helix Opened and Stabilized? 290 How Is the Leading Strand Synthesized? 291 How Is the Lagging Strand Synthesized? 292
- 15.4 Replicating the Ends of Linear Chromosomes 295 The End Replication Problem 295 Telomerase Solves the End Replication Problem 296 Telomerase Regulation 297
- 15.5 Repairing Mistakes and DNA Damage 297 Correcting Mistakes in DNA Synthesis 298 Repairing Damaged DNA 299 Xeroderma Pigmentosum: A Case Study 299
   CHAPTER REVIEW 301

### 16 How Genes Work 304

- 16.1 What Do Genes Do? 305
   The One-Gene, One-Enzyme Hypothesis 305
   An Experimental Test of the Hypothesis 305
- 16.2 The Central Dogma of Molecular Biology 307 The Genetic Code Hypothesis 307 RNA as the Intermediary between Genes and Proteins 307 Dissecting the Central Dogma 308
- 16.3 The Genetic Code 310 How Long Is a Word in the Genetic Code? 310 How Did Researchers Crack the Code? 311
- 16.4 How Can Mutation Modify Genes<br/>and Chromosomes? 313<br/>Point Mutation 313<br/>Chromosome Mutations 314

CHAPTER REVIEW 315

### 17 Transcription, RNA Processing, and Translation 317

- 17.1 An Overview of Transcription 318
   Initiation: How Does Transcription Begin in Bacteria? 318
   Elongation and Termination 320
   Transcription in Eukaryotes 320
- 17.2 RNA Processing in Eukaryotes 321
   The Startling Discovery of Split Eukaryotic Genes 321
   RNA Splicing 322
   Adding Caps and Tails to Transcripts 323
- 17.3 An Introduction to Translation 324
   Ribosomes Are the Site of Protein Synthesis 324
   Translation in Bacteria and Eukaryotes 324
   How Does an mRNA Triplet Specify an Amino Acid? 325
- 17.4 The Structure and Function of Transfer RNA 326 What Do tRNAs Look Like? 327 How Are Amino Acids Attached to tRNAs? 327 How Many tRNAs Are There? 327
- 17.5 The Structure and Function of Ribosomes 328 Initiating Translation 329 Elongation: Extending the Polypeptide 330 Terminating Translation 331 Post-Translational Modifications 331
   CHAPTER REVIEW 333

18 Control of Gene Expression in Bacteria 336

- 18.1 An Overview of Gene Regulation and Information Flow 337 Mechanisms of Regulation 337 Metabolizing Lactose—A Model System 338
- 18.2 Identifying Regulated Genes 339
   Replica Plating to Find Lactose Metabolism Mutants 339
   Several Genes Are Involved in Lactose Metabolism 339
- 18.3 Negative Control of Transcription 341 The Operon Model 342 How Does Glucose Regulate the *lac* Operon? 343 Why Has the *lac* Operon Model Been So Important? 343
- 18.4 Positive Control of Transcription 344
- **18.5 Global Gene Regulation** 344 CHAPTER REVIEW 346
- 19 Control of Gene Expression in Eukaryotes 348
- 19.1 Gene Regulation in Eukaryotes—An Overview 349
- 19.2 Chromatin Remodeling 349
  What Is Chromatin's Basic Structure? 350
  Evidence that Chromatin Structure Is Altered in Active Genes 351
  How Is Chromatin Altered? 351
  Chromatin Modifications Can Be Inherited 352
- 19.3 Initiating Transcription: Regulatory Sequences and Regulatory Proteins 353

- Promoter-Proximal Elements Are Regulatory Sequences Near the Promoter 354
- Enhancers Are Regulatory Sequences Far from the Promoter 354
- The Role of Transcription Factors in Differential Gene Expression 354
- How Do Transcription Factors Recognize Specific DNA Sequences? 355
- A Model for Transcription Initiation 356
- 19.4 Post-Transcriptional Control 356

   Alternative Splicing of mRNAs 357
   mRNA Stability and RNA Interference 358
   How Is Translation Controlled? 359
   Post-Translational Control 360
- **19.5** How Does Gene Expression Compare in Bacteria and Eukaryotes? 360
- 19.6 Linking Cancer with Defects in Gene Regulation 361 The Genetic Basis of Uncontrolled Cell Growth 361 The *p53* Tumor Suppressor: A Case Study 361 CHAPTER REVIEW 363

GENETIC INFORMATION 366

- 20 Analyzing and Engineering Genes 368
- 20.1 Case 1–The Effort to Cure Pituitary Dwarfism: Basic Recombinant DNA Technologies 369
   Why Did Early Efforts to Treat the Disease Fail? 369
   Steps in Engineering a Safe Supply of Growth Hormone 370
   Ethical Concerns over Recombinant Growth Hormone 374
- 20.2 Case 2-Amplification of Fossil DNA: The Polymerase Chain Reaction 374 Requirements of PCR 374 PCR in Action 376
- 20.3 Case 3-Sanger's Breakthrough: Dideoxy DNA Sequencing 376 The Logic of Dideoxy Sequencing 377 "Next Generation" Sequencing 378
- 20.4 Case 4–The Huntington's Disease Story: Finding Genes by Mapping 378 How Was the Huntington's Disease Gene Found? 378 What Are the Benefits of Finding a Disease Gene? 382 Ethical Concerns over Genetic Testing 382
- 20.5 Case 5–Severe Immune Disorders: The Potential of Gene Therapy 383 How Can Genes Be Introduced into Human Cells? 383 Using Gene Therapy to Treat X-Linked Immune Deficiency 383 Ethical Concerns over Gene Therapy 384

CHAPTER REVIEW 387

### 21 Genomics and Beyond 389

- Whole-Genome Sequencing 390
   How Are Complete Genomes Sequenced? 390
   Which Genomes Are Being Sequenced, and Why? 392
   Which Sequences Are Genes? 392
- 21.2 Bacterial and Archaeal Genomes 393
   The Natural History of Prokaryotic Genomes 393
   Lateral Gene Transfer in Bacteria and Archaea 394
   Metagenomics 395
- 21.3 Eukaryotic Genomes 395
   Transposable Elements and Other Repeated Sequences 396
   Gene Families 398
   Insights from the Human Genome Project 399
- 21.4 Functional Genomics, Proteomics, and Systems Biology 400 What Is Functional Genomics? 400 What Is Proteomics? 402 What Is Systems Biology? 402

CHAPTER REVIEW 403

#### UNIT 4 DEVELOPMENTAL BIOLOGY 405

### 22 Principles of Development 405

- **22.1 Shared Developmental Processes** 406 Cell Division 406 Cell-Cell Interactions 407 Cell Differentiation 407 Cell Movement and Cell Expansion 407 Cell Death 407
- 22.2 Genetic Equivalence and Differential Gene Expression in Development 408

Evidence that Differentiated Plant Cells Are Genetically Equivalent 408

Evidence that Differentiated Animal Cells Are Genetically Equivalent 409

How Does Differential Gene Expression Occur? 409

22.3 Chemical Signals Trigger Differential Gene Expression 410



Morphogens Set Up the Major Body Axes 410 Regulatory Genes Provide Increasingly Specific Positional Information 412 Chemical Signals and Regulatory Genes Are Evolutionarily

Conserved 414 One Regulator Can Be Used Many Different Ways 415

22.4 Changes in Developmental Gene Expression Underlie Evolutionary Change 416 CHAPTER REVIEW 417

# 23 An Introduction to Animal Development 419

#### 23.1 Fertilization 420

How Do Gametes from the Same Species Recognize Each Other? 421 What Prevents More Than One Sperm from Entering the Egg? 421

- 23.2 Cleavage 423 Partitioning Cytoplasmic Determinants 423 Cleavage in Mammals 423
- 23.3 Gastrulation 424 Formation of Germ Layers 425 Creating Body Axes 425
- 23.4 Organogenesis 426 Organizing Mesoderm into Somites 426 Differentiation of Muscle Cells 429 CHAPTER REVIEW 430

### 24 An Introduction to Plant Development 432

- 24.1 Embryogenesis 433What Happens during Plant Embryogenesis? 433Which Genes and Proteins Set Up Body Axes? 435
- 24.2 Vegetative Development 436
   Meristems Provide Lifelong Growth and Development 436
   Which Genes and Proteins Determine Leaf Shape? 436
   Do Plant Cells Become Irreversibly Determined? 438
- 24.3 Reproductive Development 438 The Floral Meristem and the Flower 438 The Genetic Control of Flower Structures 439 CHAPTER REVIEW 441

#### UNIT 5 EVOLUTIONARY PROCESSES AND PATTERNS 444

### 25 Evolution by Natural Selection 444

25.1 The Evolution of Evolutionary Thought 445
Plato and Typological Thinking 445
Aristotle and the Great Chain of Being 445
Lamarck and the Idea of Evolution as Change through Time 445
Darwin and Wallace and Evolution by Natural Selection 446

25.2 The Pattern of Evolution: Have Species Changed, and Are They Related? 446 Evidence for Change through Time 446

Evidence for Change through Time 446 Evidence of Descent from a Common Ancestor 449 Evolution's "Internal Consistency"—The Importance of Independent Data Sets 451

#### 25.3 The Process of Evolution: How Does Natural Selection Work? 453

Darwin's Inspiration 453 Darwin's Four Postulates 454 The Biological Definitions of Fitness, Adaptation, and Selection 454

25.4 Evolution in Action: Recent Research on Natural Selection 454

Case Study 1: How Did *Mycobacterium tuberculosis* Become Resistant to Antibiotics? 454

Case Study 2: Why Are Beak Size, Beak Shape, and Body Size Changing in Galápagos Finches? 456

#### 25.5 Common Misconceptions about Natural Selection and Adaptation 459

Selection Acts on Individuals, but Evolutionary Change Occurs in Populations 459
Evolution Is Not Goal Directed 460
Organisms Do Not Act for the Good of the Species 461
There Are Constraints on Natural Selection 461

CHAPTER REVIEW 462

### 26 Evolutionary Processes 465

#### 26.1 Analyzing Change in Allele Frequencies:

**The Hardy–Weinberg Principle** 466 The Gene Pool Concept 466

QUANTITATIVE METHODS **26.1** Deriving the Hardy–Weinberg Principle 467

The Hardy–Weinberg Model Makes Important Assumptions 468

How Does the Hardy–Weinberg Principle Serve as a Null Hypothesis? 468

#### 26.2 Nonrandom Mating 470

How Does Inbreeding Affect Allele Frequencies and Genotype Frequencies? 470How Does Inbreeding Influence Evolution? 471

#### 26.3 Natural Selection 472

How Does Selection Affect Genetic Variation? 472 Sexual Selection 475

#### 26.4 Genetic Drift 478

Simulation Studies of Genetic Drift 478 Experimental Studies of Genetic Drift 479 What Causes Genetic Drift in Natural Populations? 481

#### 26.5 Gene Flow 482

Measuring Gene Flow between Populations 482 Gene Flow Is Random with Respect to Fitness 483

#### 26.6 Mutation 483

Mutation as an Evolutionary Process 484 Experimental Studies of Mutation 484 Studies of Mutation in Natural Populations 485 Take-Home Messages 485

CHAPTER REVIEW 486

### 27 Speciation 489

- 27.1 How Are Species Defined and Identified? 490
   The Biological Species Concept 490
   The Morphospecies Concept 490
   The Phylogenetic Species Concept 491
   Species Definitions in Action: The Case of the Dusky Seaside
   Sparrow 492
- 27.2 Isolation and Divergence in Allopatry 494
   Allopatric Speciation by Dispersal 494
   Allopatric Speciation by Vicariance 495
- 27.3 Isolation and Divergence in Sympatry 495
   Sympatric Speciation by Disruptive Selection 496
   Sympatric Speciation by Polyploidization 497
- 27.4 What Happens When Isolated Populations Come into Contact? 499 Reinforcement 499 Hybrid Zones 500 New Species through Hybridization 501 CHAPTER REVIEW 502

### 28 Phylogenies and the History of Life 505

- 28.1 Tools for Studying History: Phylogenetic Trees 506
   How Do Biologists Estimate Phylogenies? 506
   How Can Biologists Distinguish Homology from Homoplasy? 508
   Whale Evolution: A Case Study 510
- 28.2 Tools for Studying History: The Fossil Record 511 How Do Fossils Form? 511 Limitations of the Fossil Record 512 Life's Time Line 513
- 28.3 Adaptive Radiation 516 Why Do Adaptive Radiations Occur? 516 The Cambrian Explosion 518

#### **28.4 Mass Extinction** 520 How Do Mass Extinctions Differ from Background

Extinctions? 520 The End-Permian Extinction 521 What Killed the Dinosaurs? 521 The Sixth Mass Extinction? 523

CHAPTER REVIEW 523

EVOLUTION 526

#### UNIT 6 THE DIVERSIFICATION OF LIFE 528

### 29 Bacteria and Archaea 528

- 29.1 Why Do Biologists Study Bacteria and Archaea? 529 Biological Impact 530 Some Microbes Thrive in Extreme Environments 530 Medical Importance 531 Role in Bioremediation 533
- **29.2 How Do Biologists Study Bacteria and Archaea?** 533 Using Enrichment Cultures 534

Using Metagenomics 534 Evaluating Molecular Phylogenies 535

- 29.3 What Themes Occur in the Diversification of Bacteria and Archaea? 536 Morphological Diversity 536 Metabolic Diversity 538 Ecological Diversity and Global Impacts 541
- Key Lineages of Bacteria and Archaea 544 29.4

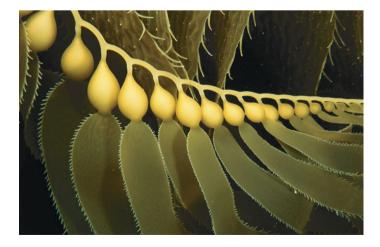
Bacteria 544 Archaea 544

- Bacteria > Firmicutes 545
- Bacteria > Cyanobacteria 545
- Bacteria > Actinobacteria 546
- Bacteria > Spirochaetes (Spirochetes) 546
- Bacteria > Chlamydiae 547
- Bacteria > Proteobacteria 547
- Archaea > Thaumarchaeota 548
- Archaea > Crenarchaeota 548
- Archaea > Eurvarchaeota 549

CHAPTER REVIEW 549

#### 30 Protists 552

- 30.1 Why Do Biologists Study Protists? 554 Impacts on Human Health and Welfare 554 Ecological Importance of Protists 556
- 30.2 How Do Biologists Study Protists? 557 Microscopy: Studying Cell Structure 557 Evaluating Molecular Phylogenies 558 Discovering New Lineages via Direct Sequencing 558
- 30.3 What Themes Occur in the Diversification of Protists? 559 What Morphological Innovations Evolved in Protists? 559 How Do Protists Obtain Food? 563 How Do Protists Move? 565 How Do Protists Reproduce? 566 Life Cycles—Haploid versus Diploid Dominated 566
- Key Lineages of Protists 569 30.4 Amoebozoa 569 Excavata 569



Plantae 569 Rhizaria 569 Alveolata 570 Stramenopila (Heterokonta) 570

- Amoebozoa > Plasmodial Slime Molds 570
- Excavata > Parabasalids, Diplomonads, and Euglenids 571
- Plantae > Red Algae 572
- Rhizaria > Foraminiferans 572
- Alveolata > Ciliates, Dinoflagellates, and Apicomplexans 573
- Stramenopila > Water Molds, Diatoms, and Brown Algae 574

CHAPTER REVIEW 575

#### 31 Green Algae and Land Plants 577

31.1 Why Do Biologists Study the Green Algae and Land Plants? 578 Plants Provide Ecosystem Services 578

> Plants Provide Humans with Food, Fuel, Fiber, Building Materials, and Medicines 579

#### 31.2 How Do Biologists Study Green Algae and Land Plants? 580

Analyzing Morphological Traits 580 Using the Fossil Record 581 Evaluating Molecular Phylogenies 582

31.3 What Themes Occur in the Diversification of Land Plants? 583

> The Transition to Land, I: How Did Plants Adapt to Dry Conditions with Intense Sunlight? 584

Mapping Evolutionary Changes on the Phylogenetic Tree 586

The Transition to Land, II: How Do Plants Reproduce in Dry Conditions? 586

The Angiosperm Radiation 595

Key Lineages of Green Algae and Land Plants 597 31.4 Green Algae 597 Nonvascular Plants 598

Seedless Vascular Plants 598 Seed Plants 599

- Green Algae > **Ulvophyceae** (Ulvophytes) 599 600
- Green Algae > Coleochaetophyceae (Coleochaetes) • Green Algae > Charophyceae (Stoneworts) 600
- Nonvascular Plants > Hepaticophyta (Liverworts)
- 601 Nonvascular Plants > Bryophyta (Mosses)
   601
- Nonvascular Plants > Anthocerophyta (Hornworts) 602
- Seedless Vascular Plants > Lycophyta (Lycophytes, or Club Mosses) 603
- Seedless Vascular Plants > Psilotophyta (Whisk Ferns) 603
- Seedless Vascular Plants > Pteridophyta (Ferns) 604
- Seedless Vascular Plants > Equisetophyta (or Sphenophyta) (Horsetails) 605
- Seed Plants > Gymnosperms > Ginkgophyta (Ginkgoes) 606
- Seed Plants > Gymnosperms > Cycadophyta (Cycads) 606
- Seed Plants > Gymnosperms > Cupressophyta (Redwoods, Junipers, Yews) 607
- Seed Plants > Gymnosperms > Pinophyta (Pines, Spruces, Firs) 607

- Seed Plants > Gymnosperms > Gnetophyta (Gnetophytes) 608
- Seed Plants > Anthophyta (Angiosperms) 608

CHAPTER REVIEW 609

### 32 Fungi 612

- Why Do Biologists Study Fungi? 613
   Fungi Have Important Economic and Ecological Impacts 613
   Fungi Provide Nutrients for Land Plants 614
   Fungi Accelerate the Carbon Cycle on Land 614
- **32.2 How Do Biologists Study Fungi?** 615 Analyzing Morphological Traits 615 Evaluating Molecular Phylogenies 618
- 32.3 What Themes Occur in the Diversification of Fungi? 619
  Fungi Participate in Several Types of Symbioses 619
  What Adaptations Make Fungi Such Effective Decomposers? 623
  Variation in Reproduction 624

Four Major Types of Life Cycles 625

#### 32.4 Key Lineages of Fungi 628

- Fungi > Microsporidia 628
- Fungi > Chytrids 629
- Fungi > Zygomycetes 629
- Fungi > Glomeromycota 630
- Fungi > Basidiomycota (Club Fungi) 630
- Fungi > Ascomycota > Lichen-Formers 631
- $\bullet \ Fungi > Ascomycota > Non-Lichen-Formers \quad 632$

CHAPTER REVIEW 633

### 33 An Introduction to Animals 636

#### 33.1 What Is an Animal? 637

### 33.2 What Key Innovations Occurred during the Evolution of Animals? 637

Origin of Multicellularity 638
Origin of Embryonic Tissue Layers 640
Origin of Bilateral Symmetry, Cephalization, and the Nervous System 641
Origin of the Coelom 643
Origin of Protostomes and Deuterostomes 644
Origin of Segmentation 645

#### 33.3 What Themes Occur in the Diversification

of Animals? 646 Sensory Organs 646 Feeding 646 Movement 649 Reproduction 650 Life Cycles 651

#### 33.4 Key Lineages of Animals: Non-Bilaterian Groups 652

- Porifera (Sponges) 652
- Ctenophora (Comb Jellies) 653
- Cnidaria (Jellyfish, Corals, Anemones, Hydroids)

CHAPTER REVIEW 655

### 34 Protostome Animals 657

#### 34.1 What Is a Protostome? 658

The Water-to-Land Transition 659 Modular Body Plans 660

#### 34.2 What Is a Lophotrochozoan? 660

Wormlike Lophotrochozoans 661

What Is a Mollusk? 662 Key Lineages: Lophotrochozoans 664

- Lophotrochozoans > Bryozoa (Bryozoans)
   665
- Lophotrochozoans > Rotifera (Rotifers) 665
- Lophotrochozoans > Platyhelminthes (Flatworms) 666
- Lophotrochozoans > Annelida (Segmented Worms) 667
- Lophotrochozoans > Mollusca > Bivalvia (Clams, Mussels, Scallops, Oysters)
   668
- Lophotrochozoans > Mollusca > Gastropoda (Snails, Slugs, Nudibranchs)
   668
- Lophotrochozoans > Mollusca > Polyplacophora (Chitons) 669
- Lophotrochozoans > Mollusca > Cephalopoda (Nautilus, Cuttlefish, Squid, Octopuses) 669

#### 34.3 What Is an Ecdysozoan? 670

What Is an Arthropod? 670

Arthropod Metamorphosis 672

Key Lineages: Ecdysozoans 672

- Ecdysozoans > Nematoda (Roundworms) 674
- Ecdysozoans > Arthropoda > Myriapoda (Millipedes, Centipedes) 675
- Ecdysozoans > Arthropoda > Insecta (Insects) 675
- Ecdysozoans > Arthropoda > Crustacea (Shrimp, Lobsters, Crabs, Barnacles, Isopods, Copepods) 677
- Ecdysozoans > Arthropoda > Chelicerata (Sea Spiders, Horseshoe Crabs, Daddy Longlegs, Mites, Ticks, Scorpions, Spiders) 678

CHAPTER REVIEW 679

### 35 Deuterostome Animals 681

#### 35.1 What Is an Echinoderm? 682

The Echinoderm Body Plan 682 How Do Echinoderms Feed? 683 Key Lineages: The Echinoderms 684

- Echinodermata > Asteroidea (Sea Stars)
- Echinodermata > Echinoidea (Sea Urchins and Sand Dollars) 685

#### 35.2 What Is a Chordate? 686

Three Chordate "Subphyla" 686

- Key Lineages: The Invertebrate Chordates 687
  - Chordata > Cephalochordata (Lancelets) 687
  - Chordata > Urochordata (Tunicates)
     688

#### 35.3 What Is a Vertebrate? 688

#### An Overview of Vertebrate Evolution 689

Key Innovations in Vertebrates 691

Key Lineages: The Vertebrate Chordates 696

 Chordata > Vertebrata > Myxinoidea (Hagfish) and Petromyzontoidea (Lampreys) 697

- Chordata > Vertebrata > Chondrichthyes (Sharks, Rays, Skates)
   698
- Chordata > Vertebrata > Actinopterygii (Ray-Finned Fishes)
   698
- Chordata > Vertebrata > Actinistia (Coelacanths) and Dipnoi (Lungfish) 699
- Chordata > Vertebrata > Amphibia (Frogs and Toads, Salamanders, Caecilians) 700
- Chordata > Vertebrata > Mammalia > Monotremata (Platypuses, Echidnas) 700
- Chordata > Vertebrata > Mammalia > Marsupiala (Marsupials) 701
- Chordata > Vertebrata > Mammalia > Eutheria (Placental Mammals) 701
- Chordata > Vertebrata > Reptilia > Lepidosauria (Lizards, Snakes) 702
- Chordata > Vertebrata > Reptilia > Testudinia (Turtles) 702
- Chordata > Vertebrata > Reptilia > Crocodilia (Crocodiles, Alligators) 703
- Chordata > Vertebrata > Reptilia > Aves (Birds) 703

## **35.4 The Primates and Hominins** 704 The Primates 704

Fossil Humans 705 The Out-of-Africa Hypothesis 707

#### CHAPTER REVIEW 709

### 36 Viruses 711

- 36.1 Why Do Biologists Study Viruses? 712
   Recent Viral Epidemics in Humans 712
   Current Viral Pandemics in Humans: AIDS 712
- 36.2 How Do Biologists Study Viruses? 714
   Analyzing Morphological Traits 715
   Analyzing the Genetic Material 715
   Analyzing the Phases of Replicative Growth 716
   Analyzing How Viruses Coexist with Host Cells 721
- 36.3 What Themes Occur in the Diversification of Viruses? 722
   Where Did Viruses Come From? 723
   Emerging Viruses, Emerging Diseases 723

#### 36.4 Key Lineages of Viruses 725

- Double-Stranded DNA (dsDNA) Viruses 726
- Double-Stranded RNA (dsRNA) Viruses 727
- Positive-Sense Single-Stranded RNA ([+]ssRNA) Viruses 727
- Negative-Sense Single-Stranded RNA ([-]ssRNA) Viruses 728
- RNA Reverse-Transcribing Viruses (Retroviruses) 728

CHAPTER REVIEW 729

#### UNIT 7 HOW PLANTS WORK 731

### **37** Plant Form and Function 731

 37.1 Plant Form: Themes with Many Variations 732 The Importance of Surface Area/Volume Relationships 732 The Root System 733 The Shoot System 735 The Leaf 737

- 37.2 Primary Growth Extends the Plant Body 740 How Do Apical Meristems Produce the Primary Plant Body? 740 How Is the Primary Root System Organized? 741 How Is the Primary Shoot System Organized? 742
- 37.3 Cells and Tissues of the Primary Plant Body 742
  The Dermal Tissue System 743
  The Ground Tissue System 744
  The Vascular Tissue System 746
- 37.4 Secondary Growth Widens Shoots and Roots 748
  What Is a Cambium? 748
  What Does Vascular Cambium Produce? 748
  What Does Cork Cambium Produce? 750
  The Structure of a Tree Trunk 751
  CHAPTER REVIEW 751

### 38 Water and Sugar Transport in Plants 754

- 38.1 Water Potential and Water Movement 755
  What Is Water Potential? 755
  What Factors Affect Water Potential? 755
  Calculating Water Potential 756
  Water Potentials in Soils, Plants, and the Atmosphere 757
- 38.2 How Does Water Move from Roots to Shoots? 759 Movement of Water and Solutes into the Root 759 Water Movement via Root Pressure 761 Water Movement via Capillary Action 761 The Cohesion-Tension Theory 762
- Water Absorption and Water Loss 765
   Limiting Water Loss 765
   Obtaining Carbon Dioxide under Water Stress 766

#### **38.4 Translocation of Sugars** 766 Tracing Connections between Sources and Sinks 766 The Anatomy of Phloem 767 The Pressure-Flow Hypothesis 768 Phloem Loading 769 Phloem Unloading 771

CHAPTER REVIEW 772

### 39 Plant Nutrition 775

- **39.1 Nutritional Requirements of Plants** 776 Which Nutrients Are Essential? 776 What Happens When Key Nutrients Are in Short Supply? 777
- 39.2 Soil: A Dynamic Mixture of Living and Nonliving Components 778 The Importance of Soil Conservation 779 What Factors Affect Nutrient Availability? 781
- **39.3 Nutrient Uptake** 782 Mechanisms of Nutrient Uptake 783 Mechanisms of Ion Exclusion 785
- **39.4 Nitrogen Fixation** 787 The Role of Symbiotic Bacteria 787

How Do Nitrogen-Fixing Bacteria Infect Plant Roots? 788

**39.5** Nutritional Adaptations of Plants 789 Epiphytic Plants 789 Parasitic Plants 789 Carnivorous Plants 789 CHAPTER REVIEW 791

### 40 Plant Sensory Systems, Signals, and Responses 793

- 40.1 Information Processing in Plants 794
   How Do Cells Receive and Transduce an External Signal? 794
   How Are Cell–Cell Signals Transmitted? 795
   How Do Cells Respond to Cell–Cell Signals? 795
- **40.2 Blue Light: The Phototropic Response** 796 Phototropins as Blue-Light Receptors 796 Auxin as the Phototropic Hormone 797
- 40.3 Red and Far-Red Light: Germination, Stem Elongation, and Flowering 800 The Red/Far-Red "Switch" 800 Phytochromes as Red/Far-Red Receptors 801 How Were Phytochromes Isolated? 801 Signals That Promote Flowering 802
- **40.4 Gravity: The Gravitropic Response** 803 The Statolith Hypothesis 804 Auxin as the Gravitropic Signal 804
- 40.5 How Do Plants Respond to Wind and Touch? 805
   Changes in Growth Patterns 805
   Movement Responses 806
- **40.6** Youth, Maturity, and Aging: The Growth Responses 806 Auxin and Apical Dominance 806 Cytokinins and Cell Division 807

Gibberellins and ABA: Growth and Dormancy 808 Brassinosteroids and Body Size 812 Ethylene and Senescence 812 An Overview of Plant Growth Regulators 813

40.7 Pathogens and Herbivores: The Defense Responses 815



How Do Plants Sense and Respond to Pathogens? **815** How Do Plants Sense and Respond to Herbivore Attack? **817** CHAPTER REVIEW **819** 

### 41 Plant Reproduction 822

- **41.1** An Introduction to Plant Reproduction 823 Sexual Reproduction 823 The Land Plant Life Cycle 823 Asexual Reproduction 825
- **41.2 Reproductive Structures** 826 The General Structure of the Flower 826 How Are Female Gametophytes Produced? 827 How Are Male Gametophytes Produced? 828
- **41.3 Pollination and Fertilization** 830 Pollination 830 Fertilization 832

#### 41.4 The Seed 833

Embryogenesis 833 The Role of Drying in Seed Maturation 834 Fruit Development and Seed Dispersal 834 Seed Dormancy 836 Seed Germination 837

CHAPTER REVIEW 838

PLANT AND ANIMAL FORM AND FUNCTION 840

#### UNIT 8 HOW ANIMALS WORK 842

### 42 Animal Form and Function 842

- **42.1 Form, Function, and Adaptation** 843 The Role of Fitness Trade-Offs 843 Adaptation and Acclimatization 845
- 42.2 Tissues, Organs, and Systems: How Does Structure Correlate with Function? 845
   Structure–Function Relationships at the Molecular and Cellular Levels 846
   Tissues Are Groups of Cells That Function as a Unit 846
   Organs and Organ Systems 849
- **42.3 How Does Body Size Affect Animal Physiology?** 850 Surface Area/Volume Relationships: Theory 850 Surface Area/Volume Relationships: Data 851 Adaptations That Increase Surface Area 852
- **42.4 Homeostasis** 853 Homeostasis: General Principles 853 The Role of Regulation and Feedback 854
- **42.5 How Do Animals Regulate Body Temperature?** 854 Mechanisms of Heat Exchange 855 Variation in Thermoregulation 855 Endothermy and Ectothermy: A Closer Look 856 Temperature Homeostasis in Endotherms 856 Countercurrent Heat Exchangers 857

CHAPTER REVIEW 859



# 43 Water and Electrolyte Balance in Animals 861

- 43.1 Osmoregulation and Excretion 862
  What Is Osmotic Stress? 862
  Osmotic Stress in Seawater, in Freshwater, and on Land 862
  How Do Electrolytes and Water Move across Cell
  Membranes? 864
  Types of Nitrogenous Wastes: Impact on Water Balance 865
- **43.2 Water and Electrolyte Balance in Marine Fishes** 866 Osmoconformation versus Osmoregulation in Marine Fishes 866

How Do Sharks Excrete Salt? 866

43.3 Water and Electrolyte Balance in Freshwater Fishes 868

How Do Freshwater Fishes Osmoregulate? 868

43.4 Water and Electrolyte Balance in Terrestrial Insects 869

How Do Insects Minimize Water Loss from the Body Surface? 869

43.5 Water and Electrolyte Balance in Terrestrial Vertebrates 871

The Structure of the Mammalian Kidney 871 The Function of the Mammalian Kidney: An Overview 872 Filtration: The Renal Corpuscle 873 Reabsorption: The Proximal Tubule 873 Creating an Osmotic Gradient: The Loop of Henle 874 Regulating Water and Electrolyte Balance: The Distal Tubule and Collecting Duct 877 Urine Formation in Nonmammalian Vertebrates 878

CHAPTER REVIEW 879

### 44 Animal Nutrition 882

- 44.1 Nutritional Requirements 883
- 44.2 Capturing Food: The Structure and Function of Mouthparts 884 Mouthparts as Adaptations 884

A Case Study: The Cichlid Jaw 884

- 44.3 How Are Nutrients Digested and Absorbed? 886 An Introduction to the Digestive Tract 886 An Overview of Digestive Processes 888 The Mouth and Esophagus 888 The Stomach 889 The Small Intestine 892 The Large Intestine 896
- 44.4 Nutritional Homeostasis—Glucose as a Case Study 897 The Discovery of Insulin 897 Insulin's Role in Homeostasis 897 Diabetes Mellitus Has Two Forms 898 The Type 2 Diabetes Mellitus Epidemic 898

CHAPTER REVIEW 899

### 45 Gas Exchange and Circulation 902

- 45.1 The Respiratory and Circulatory Systems 903
- 45.2 Air and Water as Respiratory Media 903
  How Do Oxygen and Carbon Dioxide Behave in Air? 903
  How Do Oxygen and Carbon Dioxide Behave in Water? 904
- **45.3** Organs of Gas Exchange 905 Physical Parameters: The Law of Diffusion 905 How Do Gills Work? 906 How Do Insect Tracheae Work? 907 How Do Vertebrate Lungs Work? 909 Homeostatic Control of Ventilation 911
- 45.4 How Are Oxygen and Carbon Dioxide Transported in Blood? 912
   Structure and Function of Hemoglobin 912
   CO<sub>2</sub> Transport and the Buffering of Blood pH 915
- 45.5 Circulation 916
  What Is an Open Circulatory System? 916
  What Is a Closed Circulatory System? 917
  How Does the Heart Work? 919
  Patterns in Blood Pressure and Blood Flow 923
  CHAPTER REVIEW 925

### 46 Animal Nervous Systems 928

46.1 Principles of Electrical Signaling 929
Types of Neurons in the Nervous System 929
The Anatomy of a Neuron 929
An Introduction to Membrane Potentials 930
How Is the Resting Potential Maintained? 931
QUANTITATIVE METHODS 46.1 Using the Nernst Equation
to Calculate Equilibrium Potentials 932
Using Microelectrodes to Measure Membrane Potentials 932
What Is an Action Potential? 933

#### 46.2 Dissecting the Action Potential 934

Distinct Ion Currents Are Responsible for Depolarization and Repolarization 934 How Do Voltage-Gated Channels Work? 934 How Is the Action Potential Propagated? 936

#### 46.3 The Synapse 938

Synapse Structure and Neurotransmitter Release 939 What Do Neurotransmitters Do? 940 Postsynaptic Potentials 940 46.4 The Vertebrate Nervous System 942
 What Does the Peripheral Nervous System Do? 942
 Functional Anatomy of the CNS 943
 How Does Memory Work? 946

CHAPTER REVIEW 949

### 47 Animal Sensory Systems 952

- **47.1 How Do Sensory Organs Convey Information to the Brain?** 953 Sensory Transduction 953 Transmitting Information to the Brain 954
- 47.2 Mechanoreception: Sensing Pressure Changes 954 How Do Sensory Cells Respond to Sound Waves and Other Forms of Pressure? 954 Hearing: The Mammalian Ear 955 The Lateral Line System in Fishes and Amphibians 958
- **47.3 Photoreception: Sensing Light** 959 The Insect Eye 960 The Vertebrate Eye 960
- 47.4Chemoreception: Sensing Chemicals964Taste: Detecting Molecules in the Mouth964Olfaction: Detecting Molecules in the Air965
- **47.5 Other Sensory Systems** 967 Thermoreception: Sensing Temperature 967 Electroreception: Sensing Electric Fields 967 Magnetoreception: Sensing Magnetic Fields 968 CHAPTER REVIEW 969

### 48 Animal Movement 972

- 48.1 How Do Muscles Contract? 973 Early Muscle Experiments 973 The Sliding-Filament Model 973 How Do Actin and Myosin Interact? 974 How Do Neurons Initiate Contraction? 976
- 48.2Muscle Tissues977Smooth Muscle977Cardiac Muscle978Skeletal Muscle978
- **48.3 Skeletal Systems** 980 Hydrostatic Skeletons 981 Endoskeletons 981 Exoskeletons 983
- 48.4 Locomotion 983 How Do Biologists Study Locomotion? 984 Size Matters 986
   CHAPTER REVIEW 988

49 Chemical Signals in Animals 991

**49.1** Cell-to-Cell Signaling: An Overview 992 Major Categories of Chemical Signals 992 Hormone Signaling Pathways 993 What Makes Up the Endocrine System? 995 Chemical Characteristics of Hormones 995 How Do Researchers Identify a Hormone? 996 A Breakthrough in Measuring Hormone Levels 997

- 49.2 What Do Hormones Do? 997
  How Do Hormones Direct Developmental Processes? 997
  How Do Hormones Coordinate Responses to Stressors? 1000
  How Are Hormones Involved in Homeostasis? 1001
- **49.3 How Is the Production of Hormones Regulated?** 1003 The Hypothalamus and Pituitary Gland 1004 Control of Epinephrine by Sympathetic Nerves 1006
- 49.4 How Do Hormones Act on Target Cells? 1006
   Steroid Hormones Bind to Intracellular Receptors 1006
   Hormones That Bind to Cell-Surface Receptors 1008
   Why Do Different Target Cells Respond in Different Ways? 1010
   CHAPTER REVIEW 1010

### 50 Animal Reproduction 1013

- 50.1 Asexual and Sexual Reproduction 1014
   How Does Asexual Reproduction Occur? 1014
   Switching Reproductive Modes: A Case History 1014
   Mechanisms of Sexual Reproduction: Gametogenesis 1016
- 50.2 Fertilization and Egg Development 1018
   External Fertilization 1018
   Internal Fertilization 1019
   Unusual Mating Strategies 1020
   Why Do Some Females Lay Eggs While Others Give Birth? 1020
- 50.3 Reproductive Structures and Their Functions 1021 The Male Reproductive System 1021 The Female Reproductive System 1023
- 50.4 The Role of Sex Hormones in Mammalian Reproduction 1025
   Which Hormones Control Puberty? 1026
   Which Hormones Control the Menstrual Cycle in Mammals? 1027
- 50.5 Pregnancy and Birth in Mammals 1030 Gestation and Early Development in Marsupials 1031 Major Events during Human Pregnancy 1031 How Does the Mother Nourish the Fetus? 1032 Birth 1034

CHAPTER REVIEW 1035

- 51 The Immune System in Animals 1037
- 51.1 Innate Immunity 1038 Barriers to Entry 1038 The Innate Immune Response 1039
- 51.2 Adaptive Immunity: Recognition 1041 An Introduction to Lymphocytes 1042 Lymphocytes Recognize a Diverse Array of Antigens 1044 How Does the Immune System Distinguish Self from Nonself? 1046
- 51.3 Adaptive Immunity: Activation 1047 The Clonal Selection Theory 1048 T-Cell Activation 1048
   B-Cell Activation and Antibody Secretion 1050
- **51.4 Adaptive Immunity: Response and Memory** 1051 How Are Extracellular Pathogens Eliminated? 1052

How Are Intracellular Pathogens Eliminated? 1052Why Does the Immune System Reject Foreign Tissues and Organs? 1053Responding to Future Infections: Immunological

Memory 1053

#### 51.5 What Happens When the Immune System Doesn't Work Correctly? 1055

Allergies 1055 Autoimmune Diseases 1056 Immunodeficiency Diseases 1056

CHAPTER REVIEW 1057

#### UNIT 9 ECOLOGY 1059

52 An Introduction to Ecology 1059

52.1 Levels of Ecological Study 1060
Organismal Ecology 1060
Population Ecology 1061
Community Ecology 1061
Ecosystem Ecology 1061
Global Ecology 1061
Conservation Biology Applies All Levels of Ecological Study 1061

52.2 What Determines the Distribution and Abundance of Organisms? 1061

Abiotic Factors 1062
Biotic Factors 1062
History Matters: Past Abiotic and Biotic Factors Influence
Present Patterns 1062
Biotic and Abiotic Factors Interact 1063

#### 52.3 Climate Patterns 1065

Why Are the Tropics Wet? 1065Why Are the Tropics Warm and the Poles Cold? 1066What Causes Seasonality in Weather? 1066What Regional Effects Do Mountains and Oceans Have on Climate? 1066

52.4 Types of Terrestrial Biomes 1068 Natural Biomes 1068



Anthropogenic Biomes 1069

- Terrestrial Biomes > Tropical Wet Forest 1070
- Terrestrial Biomes > Subtropical Deserts 1070
- Terrestrial Biomes > Temperate Grasslands 1071
- Terrestrial Biomes > Temperate Forests 1071
- Terrestrial Biomes > Boreal Forests 1072
- Terrestrial Biomes > Arctic Tundra 1072
   How Will Global Climate Change Affect Terrestrial Biomes? 1073

#### 52.5 Types of Aquatic Biomes 1074

Salinity 1074

- Water Depth 1074
- Water Flow 1074

#### Nutrient Availability 1075

How Are Aquatic Biomes Affected by Humans? 1076

- Aquatic Biomes > Freshwater > Lakes and Ponds 1077
- Aquatic Biomes > Freshwater > Wetlands 1077
- Aquatic Biomes > Freshwater > Streams 1078
- Aquatic Biomes > Freshwater/Marine > Estuaries 1078
- Aquatic Biomes > Marine > Oceans 1079

CHAPTER REVIEW 1080

### 53 Behavioral Ecology 1082

- 53.1 An Introduction to Behavioral Ecology 1083
   Proximate and Ultimate Causation 1083
   Types of Behavior: An Overview 1084
   Five Questions in Behavioral Ecology 1085
- 53.2 What Should I Eat? 1085
   Proximate Causes: Foraging Alleles in Drosophila melanogaster 1085
   Ultimate Causes: Optimal Foraging 1085
- 53.3 Who Should I Mate With? 1087
   Proximate Causes: How Is Sexual Activity Triggered in Anolis Lizards? 1087
   Ultimate Causes: Sexual Selection 1088
- 53.4 Where Should I Live? 1089Proximate Causes: How Do Animals Navigate? 1089Ultimate Causes: Why Do Animals Migrate? 1091
- 53.5 How Should I Communicate? 1091
  Proximate Causes: How Do Honeybees Communicate? 1092
  Ultimate Causes: Why Do Honeybees Communicate the Way
  They Do? 1093
  When Is Communication Honest or Deceitful? 1094

#### 53.6 When Should I Cooperate? 1095 Kin Selection 1095 QUANTITATIVE METHODS 53.1 Calculating the Coefficient of Relatedness 1096 Manipulation 1097 Reciprocal Altruism 1098 Cooperation and Mutualism 1098 CHAPTER REVIEW 1098

### 54 Population Ecology 1101

- 54.1 Distribution and Abundance 1102 QUANTITATIVE METHODS 54.1 Mark-Recapture Studies 1103
- **54.2 Demography** 1103 Life Tables 1104

The Role of Life History 1105 QUANTITATIVE METHODS 54.2 Using Life Tables to Calculate Population Growth Rates 1106

- 54.3 Population Growth 1107 Quantifying the Growth Rate 1107 Exponential Growth 1107 Logistic Growth 1108 What Limits Growth Rates and Population Sizes? 1109 QUANTITATIVE METHODS 54.3 Developing and Applying Population Growth Equations 1110
- 54.4 Population Dynamics 1112 How Do Metapopulations Change through Time? 1112 Why Do Some Populations Cycle? 1113
- 54.5 Human Population Growth 1115 Age Structure in Human Populations 1115 Analyzing Change in the Growth Rate of Human Populations 1116
- 54.6 How Can Population Ecology Help Conserve Biodiversity? 1118 Using Life-Table Data 1118 Preserving Metapopulations 1119 CHAPTER REVIEW 1120

### 55 Community Ecology 1123

- 55.1 Species Interactions 1124 Commensalism 1124 Competition 1125 Consumption 1128 Mutualism 1133
- 55.2 Community Structure 1135
  How Predictable Are Communities? 1135
  How Do Keystone Species Structure Communities? 1137
- 55.3 Community Dynamics 1138
   Disturbance and Change in Ecological Communities 1138
   Succession: The Development of Communities after Disturbance 1139
- 55.4 Global Patterns in Species Richness 1142
   QUANTITATIVE METHODS 55.1 Measuring Species Diversity 1143
   Predicting Species Richness: The Theory of Island
   Biogeography 1143
   Global Patterns in Species Richness 1144
   CHAPTER REVIEW 1146

### 56 Ecosystems and Global Ecology 1148

56.1 How Does Energy Flow through Ecosystems? 1149 How Efficient Are Autotrophs at Capturing Solar Energy? 1149 What Happens to the Biomass of Autotrophs? 1150 Energy Transfer between Trophic Levels 1151 Biomagnification 1152 Top-Down Control and Trophic Cascades 1153 Global Patterns in Productivity 1153

56.2 How Do Nutrients Cycle through Ecosystems? 1156
 Nutrient Cycling within Ecosystems 1156
 Global Biogeochemical Cycles 1159

# 56.3 Global Climate Change 1163 What Is the Cause of Global Climate Change? 1163 How Much Will the Climate Change? 1164 Consequences to Organisms 1166

Consequences to Ecosystems 1168 CHAPTER REVIEW 1169

#### 57 Biodiversity and Conservation Biology 1172

## 57.1 What Is Biodiversity? 1173 Biodiversity Can Be Measured and Analyzed at Several Levels 1173 How Many Species Are Living Today? 1175 Where Is Biodiversity Highest? 1176

57.2 Threats to Biodiversity 1178 Multiple Interacting Threats 1178 How Will These Threats Affect Future Extinction Rates? 1182
QUANTITATIVE METHODS 57.1 Species–Area Plots 1183

#### 57.3 Why Is Biodiversity Important? 1184

Biological Benefits of Biodiversity 1184
Ecosystem Services: Economic and Social Benefits of Biodiversity and Ecosystems 1187
An Ethical Dimension? 1188

57.4 Preserving Biodiversity and Ecosystem Function 1189
 Addressing the Ultimate Causes of Loss 1189
 Conservation of Genetic Diversity, Populations,
 and Species 1189
 Conservation of Ecosystem Function 1191

Take-Home Message1193CHAPTER REVIEW1193

BCTURE ECOLOGY 1196

#### APPENDIX A Answers A:1

APPENDIX B BioSkills B:1

- 1 The Metric System and Significant Figures B:1
- 2 Some Common Latin and Greek Roots Used in Biology B:3
- 3 Reading Graphs B:4
- 4 Using Statistical Tests and Interpreting Standard Error Bars B:6
- 5 Combining Probabilities B:8
- 6 Using Logarithms B:9
- 7 Reading a Phylogenetic Tree B:10
- 8 Reading Chemical Structures B:12
- 9 Separating and Visualizing Molecules B:13
- 10 Separating Cell Components by Centrifugation B:17
- 11 Biological Imaging: Microscopy and X-ray Crystallography B:18

12 Cell and Tissue Culture Methods B:21

- 13 Model Organisms B:23
- 14 Primary Literature and Peer Review B:26
- 15 Making Concept Maps B:28
- 16 Using Bloom's Taxonomy B:29

APPENDIX C Periodic Table of Elements C:1

Glossary G:1 Credits Cr:1

Index I:1

# About the Authors

#### A Letter from Scott:

I started working on *Biological Science* in 1997 with a simple goal: To help change the way biology is taught. After just shy of 20,000 hours of work on four editions of this text, that goal still gets me out of bed in the morning. But instead of focusing my energies on textbook writing, I've decided to devote myself full-time to research on student learning and developing new courses for undergraduate and graduate students at the University of Washington.

So with this edition I am passing the torch—to an all-star cast of leading scientists and educators who have enthusiastically taught from, and contributed to, previous editions of *Biological Science*. Working with them, I have seen the new team bring their passion, talent, and creativity to the book, with expertise that spans the breadth of the life sciences. Just as important, they work beautifully together because they think alike. They are driven by a shared concern for student learning, a commitment to the craft of writing, and a background in evidence-based teaching.

These pages provide a brief introduction to Liz Allison, Michael Black, Greg Podgorski, Kim Quillin, Jon Monroe, and Emily Taylor. As a group, they've built on the book's existing strengths and infused this edition with fresh energy, perspective, and ideas. I'm full of admiration for what they have accomplished, and excited about the impact this edition will have on biology students from all over the world.—*Scott Freeman* 



Lizabeth A. Allison is professor and chair of the Biology Department at the College of William & Mary. She received her Ph.D. in Zoology from the University of Washington, specializing in molecular and cellular biology. Before coming to William & Mary, she spent eight years as a faculty member at the University of Canterbury in New Zealand. Liz teaches introductory biology for

majors and upper-division molecular biology courses. She has mentored graduate students and more than 80 undergraduate research students, many of them coauthoring papers with her on intracellular trafficking of the thyroid hormone receptor in normal and cancer cells. The recipient of numerous awards, including a State Council for Higher Education in Virginia (SCHEV) Outstanding Faculty Award in 2009, Liz received one of the three inaugural Arts & Sciences Faculty Awards for Teaching Excellence in 2011, and a Plumeri Award for Faculty Excellence in 2012. In addition to her work on this text, she is author of *Fundamental Molecular Biology*, now in its second edition. *Lead Author; Chapter 1 and BioSkills laalli@wm.edu* 



Michael Black received his Ph.D. in Microbiology & Immunology from Stanford University School of Medicine as a Howard Hughes Predoctoral Fellow. After graduation, he studied cell biology as a Burroughs Wellcome Postdoctoral Fellow at the MRC Laboratory of Molecular Biology in Cambridge, England. His current research focuses on the use of molecules to identify and track

the transmission of microbes in the environment. Michael is a professor of Cell & Molecular Biology at California Polytechnic State University in San Luis Obispo, where he teaches introductory and advanced classes for majors in cell biology and microbiology. In addition to his teaching and research activities, Michael serves as the director of the Undergraduate Biotechnology Lab, where he works alongside undergraduate technicians to integrate research projects and inquiry-based activities into undergraduate classes.

Chapters 2–12, 36, and 51 mblack@calpoly.edu



**Scott Freeman** received a Ph.D. in Zoology from the University of Washington and was subsequently awarded an Alfred P. Sloan Postdoctoral Fellowship in Molecular Evolution at Princeton University. He has done research in evolutionary biology on topics ranging from nest parasitism to the molecular systematics of the blackbird family and is coauthor, with Jon Herron,

of the standard-setting undergraduate text *Evolutionary Analy*sis. Scott is the recipient of a Distinguished Teaching Award from the University of Washington and is currently a Senior Lecturer in the UW Department of Biology, where he teaches introductory biology for majors, a writing-intensive course for majors called The Tree of Life, and a graduate seminar in college science teaching. Scott's current research focuses on how active learning affects student learning and academic performance.



**Greg Podgorski** received his Ph.D. in Molecular and Cellular Biology from Penn State University and has been a postdoctoral fellow at the Max Plank Institute for Biochemistry and Columbia University. His research interests are in biology education, developmental genetics, and computational biology. Greg's most recent work has been in mathematical modeling of how pat-

terns of different cell types emerge during development and how tumors recruit new blood vessels in cancer. Greg has been teaching at Utah State University for more than 20 years in courses that include introductory biology for majors and for nonmajors, genetics, cell biology, developmental biology, and microbiology, and he has offered courses in nonmajors biology in Beijing and Hong Kong. He's won teaching awards at Utah State University and has been recognized by the National Academies as a Teaching Fellow and a Teaching Mentor.

Chapters 13–24 greg.podgorski@usu.edu



Jon Monroe is professor of Biology at James Madison University in Harrisonburg, Virginia. Jon completed his undergraduate work in Botany at the University of Michigan and his graduate work in Plant Physiology at Cornell University. He began his current position after a postdoc in biochemistry at Michigan State University. He currently teaches Plant Biology, and Cell and

Molecular Biology. Jon's interest in plants is broad, ranging from systematics and taxonomy to physiology and biochemistry. His research, mostly with undergraduates, uses Arabidopsis thaliana to study the functions of a family of  $\beta$ -amylase genes in starch metabolism. Jon has been active in promoting undergraduate research through his work with the American Society of Plant Biologists (ASPB) and the Council on Undergraduate Research. He has received ASPB's Excellence in Teaching award and James Madison University Alumni Association's Distinguished Faculty Award.

Chapters 29–32; 37–41 monroejd@jmu.edu



Kim Quillin received her B.A. in Biology at Oberlin College *summa cum laude* and her Ph.D. in Integrative Biology from the University of California, Berkeley (as a National Science Foundation Graduate Fellow). Kim has worked in the trenches with Scott Freeman on every edition of *Biological Science*, starting with the ground-up development of the illustrations in

the first edition in 1999 and expanding her role in each edition, always with the focus of helping students to think like biologists. Kim currently teaches introductory biology at Salisbury University, a member of the University System of Maryland, where she is actively involved in the ongoing student-centered reform of the concepts-and-methods course for biology majors. Her current research focuses on the scholarship of teaching and learning with an emphasis on measuring science process skills and the advantages and pitfalls of active multimedia learning. *Chapters* 25–28; 33–35; 48; 52–57 *kxquillin@salisbury.edu* 



**Emily Taylor** earned a B.A. in English at the University of California, Berkeley followed by a Ph.D. in Biological Sciences from Arizona State University, where she conducted research in the field of environmental physiology as a National Science Foundation Graduate Research Fellow. She is currently an associate professor of Biological Sciences at the California Polytechnic State

University in San Luis Obispo, California. Her student-centered research program focuses on the endocrine and reproductive physiology of free-ranging reptiles, especially rattlesnakes. She teaches numerous undergraduate and graduate courses, including introductory biology, anatomy and physiology, and herpetology, and received the California Faculty Association's Distinguished Educator Award in 2010 and Cal Poly's Distinguished Teaching Award in 2012. Her revision of Unit 8 is her first foray into textbook writing. *Chapters* 42–50

etaylor@calpoly.edu

# Preface to Instructors

he first edition of *Biological Science* was visionary in its unique emphasis on the process of scientific discovery and experimental design—teaching how we know what we know. The goal was for students not only to learn the language of biology and understand fundamental concepts but also to begin to apply those concepts in new situations, analyze experimental design, synthesize results, and evaluate hypotheses and data—to learn how to think like biologists. Each edition since has proudly expanded on this vision. The Fifth Edition is no exception.

A team of six dedicated teacher-scholars has joined Scott to build on and refine the original vision, and by so doing, make the book an even better teaching and learning tool. The pace of biological discovery is rapid, and with each novel breakthrough it becomes even more challenging to decide what is essential to include in an introductory biology text. Pulling together an author team with firsthand expertise from molecules to ecosystems has ensured that the content of the Fifth Edition reflects cuttingedge biology that is pitched at the right level for introductory students and is as accurate and as exciting as ever for instructors and students alike.

New findings from education research continue to inform and inspire the team's thinking about *Biological Science*—we know more today than ever before about how students learn. These findings demand that we constantly look for new ways to increase student engagement in the learning process, and to help instructors align course activities and learning goals with testing strategies.

#### **The New Coauthors**

The new coauthor team brings a broad set of talents and interests to the project, motivated by a deep commitment to undergraduate teaching, whether at a small liberal arts college or a large university. Kim Quillin has been a partner in this textbook in every edition. For the Fifth Edition, she revised chapters across three units in addition to spearheading the continued effort to enhance the visual-teaching program. Michael Black, Greg Podgorski, Jon Monroe, and Emily Taylor, who served as unit advisors on the Fourth Edition, were already familiar with the book. And most of the authorial team have been avid users of previous editions for many years.

#### **Core Values**

Together, the coauthor team has worked to extend the vision and maintain the core values of *Biological Science*—to provide a book for instructors who embrace the challenge of boosting students to higher levels of learning, and to provide a book for students that helps them each step of the way in learning to think like scientists. Dedicated instructors have high expectations of their students—the Fifth Edition provides scaffolding to help students learn at the level called for by the National Academy of Sciences, the Howard Hughes Medical Institute, the American Association of Medical Academies, and the National Science Foundation.

#### What's New in This Edition

The Fifth Edition contains many new or expanded features, all of them targeted at ways to help students learn to construct their own knowledge and think like biologists.

- Road Maps The new Road Maps at the beginning of each chapter pair with the Big Picture concept maps introduced in the Fourth Edition. Together they help students navigate chapter content and see the forest for the trees. Each Road Map starts with a purpose statement that tells students what they can expect to learn from each chapter. It then goes on to visually group and organize information to help students anticipate key ideas as well as recognize meaningful relationships and connections between the ideas.
- The Big Picture Introduced in the Fourth Edition, Big Picture concept maps integrate words and visuals to help students synthesize information about challenging topics that span multiple chapters and units. In response to requests from instructors and students, three new Big Pictures focused on additional tough topics have been added: Doing Biology, The Chemistry of Life, and Plant and Animal Form and Function. In addition, the Ecology Big Picture is completely revised to reflect changes to that unit.
- New Chapters Two new chapters are added to better serve instructors and students. Unit 2 now contains a new Chapter 8, Energy and Enzymes: An Introduction to Metabolic Pathways. This chapter consolidates these critical topics in a place where students and instructors need it most—right before the chapters on cellular respiration and photosynthesis. In the Fourth Edition, animal movement was discussed in a chapter largely focused on animal sensory systems. In the Fifth Edition, this important topic is treated in depth in a new Chapter 48, Animal Movement, that explores how muscle and skeletal systems work together to produce locomotion.
- New BioSkills Instructors recognize that biology students need to develop foundational science skills in addition to content knowledge. While these skills are emphasized throughout the book, *Biological Science*, beginning with the Third

Edition, has provided a robust set of materials and activities to guide students who need extra help. To promote even fuller use of this resource, the BioSkills are now updated, expanded, and reorganized. New in this edition are a discussion of significant figures within the BioSkills on the Metric System, and two new BioSkills on Primary Literature and Peer Review and Using Bloom's Taxonomy. BioSkills are located in Appendix B, and practice activities can be assigned online in MasteringBiology<sup>®</sup>.

- **Promotion of Quantitative Skills** Reports like *Biology 2010*, *Scientific Foundations for Future Physicians*, and *Vision and Change* all place a premium on quantitative skills. To infuse a quantitative component throughout the text, new and existing quantitative questions are flagged in each chapter to encourage students to work on developing their ability to read or create a graph, perform or interpret a calculation, or use other forms of quantitative reasoning.
- Bloom's Taxonomy In the Fifth Edition, all questions in the text are assigned a Bloom's Taxonomy level to help both students and instructors understand whether a question requires higher-order or lower-order cognitive skills. Questions span all six Bloom's levels. (Bloom's levels are identified in Appendix A: Answers.) The coauthors were trained by experts Mary Pat Wenderoth and Clarissa Dirks<sup>1</sup> to ensure we followed a process that would result in high inter-rater reliability— or agreement among raters—in assigning Bloom's levels to questions. The new BioSkill, Using Bloom's Taxonomy, explains the six Bloom's levels to students and offers a practical guide to the kinds of study activities best suited for answering questions at each level.
- Expanded Emphasis on "Doing Biology" A constant hallmark of this text is its emphasis on experimental evidence on teaching how we know what we know. To reflect the progress of science, in the Fifth Edition, the coauthor team replaced many experiments with fresh examples and added new Research Boxes. And as noted earlier, they added a new Big Picture on Doing Biology, focusing on the process of science and the organizational levels of biology. Data sources are now cited for all graphs and data tables to model the importance of citing data sources to students. Updated Research Box questions continue to encourage students to analyze some aspect of experimental design. Also new to this edition is a BioSkill on Primary Literature and Peer Review.
- Art Program The art program is further enhanced in this edition by the addition of more illustrated summary tables. These tables make subject areas more accessible to visual learners and reinforce key concepts of the chapter. Many of the life-cycle figures in Unit 6 are significantly overhauled.

#### Updated Blue Thread Scaffolding

In the Third and Fourth editions of *Biological Science*, a metacognitive tool was formulated as the now popular feature known as "Blue Thread"—sets of questions designed to help students identify what they do and don't understand. The fundamental idea is that if students really understand a piece of information or a concept, they should be able to do something with it.

In the Fifth Edition, the Blue Thread is revised to reflect changes in chapter content, and to incorporate user feedback. Blue-Thread questions appear in the following locations:

- In-text "You should be able to's" offer exercises on topics that professors and students have identified as the most difficult concepts in each chapter.
- Caption questions and exercises challenge students to examine the information in a figure or table critically—not just absorb it.
- Check Your Understanding boxes present two to three tasks that students should be able to complete in order to demonstrate a mastery of summarized key ideas.
- **Chapter summaries** include "You should be able to" problems or exercises related to each key concept.
- End-of-chapter questions are organized in three levels of increasing difficulty so students can build from lower to higherorder cognitive questions.

#### Integration of Media

The textbook continues to be supported by MasteringBiology<sup>®</sup>, the most powerful online homework, tutorial, and assessment system available. Tutorials follow the Socratic method, coaching students to the correct answer by offering feedback specific to a student's misconceptions as well as providing hints students can access if they get stuck. Instructors can associate content with publisher-provided learning outcomes or create their own. Content highlights include the following:

- **NEW! Solve It Tutorials** These activities allow students to act like scientists in simulated investigations. Each tutorial presents an interesting, real-world question that students will answer by analyzing and interpreting data.
- Experimental Inquiry Tutorials The call to teach students about the process of science has never been louder. To support such teaching, there are 10 interactive tutorials on classic scientific experiments—ranging from Meselson–Stahl on DNA replication to the Grants' work on Galápagos finches and Connell's work on competition. Students who use these tutorials should be better prepared to think critically about experimental design and evaluate the wider implications of the data—preparing them to do the work of real scientists in the future.
- **BioFlix**<sup>®</sup> Animations and Tutorials BioFlix are moviequality, 3-D animations that focus on the most difficult core topics and are accompanied by in-depth, online tutorials that

<sup>&</sup>lt;sup>1</sup> Crowe, A., C. Dirks, and M. P. Wenderoth. 2008. Biology in Bloom: Implementing Bloom's Taxonomy to enhance student learning in biology. *CBE–Life Sciences Education* 7: 368–381.

provide hints and feedback to guide student learning. Eighteen BioFlix animations and tutorials tackle topics such as meiosis, mitosis, DNA replication, photosynthesis, homeostasis, and the carbon cycle.

- **NEW! End-of-Chapter Questions** Multiple choice end-ofchapter questions are now available to assign in MasteringBiology.
- **Blue-Thread Questions** Over 500 questions based on the Blue-Thread Questions in the textbook are assignable in MasteringBiology.
- **Big Picture Tutorials** Interactive concept map activities based on the Big Picture figures in the textbook are assignable in MasteringBiology, including tutorials to support the three new Big Pictures: Doing Biology, The Chemistry of Life, and Plant and Animal Form and Function.
- **BioSkills Activities** Activities based on the BioSkills content in the textbook are assignable in MasteringBiology, including activities to support the new BioSkills on Primary Literature and Peer Review and Using Bloom's Taxonomy.

• **Reading Quiz Questions** Every chapter includes reading quiz questions you can assign to ensure students read the textbook and understand the basics. These quizzes are perfect as a pre-lecture assignment to get students into the content before class, allowing you to use class time more effectively.

#### Serving a Community of Teachers

All of us on the coauthor team are deeply committed to students and to supporting the efforts of dedicated teachers. Doing biology is what we love. At various points along our diverse paths, we have been inspired by our own teachers when we were students, and now are inspired by our colleagues as we strive to become even better teacher-scholars. In the tradition of all previous editions of *Biological Science*, we have tried to infuse this textbook with the spirit and practice of evidence-based teaching. We welcome your comments, suggestions, and questions.

Thank you for your work on behalf of your students.

# Content Highlights of the Fifth Edition

s discussed in the preface, a major focus of this revision is to enhance the pedagogical utility of *Biological Science*. Another major goal is to ensure that the content reflects the current state of science and is accurate. The expanded author team has scrutinized every chapter to add new, relevant content, update descriptions when appropriate, and adjust the approach to certain topics to enhance student comprehension. In this section, some of the key content improvements to the textbook are highlighted.

**Chapter 1 Biology and the Tree of Life** A concept map summarizing the defining characteristics of life is added. The process of doing biology coverage is expanded to include discussion of both experimental and descriptive studies, and more rigorous definitions of the terms hypothesis and theory.

**Chapter 2 Water and Carbon: The Chemical Basis of Life** A stronger emphasis on chemical evolution is threaded throughout the chapter to bring chemistry to life for the student reader. Two prominent models for chemical evolution are introduced; the historic Miller prebiotic soup experiment was moved here. Advanced discussion of energy and chemical reactions was moved to a new chapter (see Chapter 8).

**Chapter 3 Protein Structure and Function** The chapter is reorganized to emphasize the link between structure and function, from amino acids to folded proteins. Updated content illustrates that protein shapes are flexible and dynamic, and may remain incompletely folded until the protein interacts with other molecules or ions. Details of how enzymes work were moved to Chapter 8.

**Chapter 4 Nucleic Acids and the RNA World** New experimental results concerning the synthesis of nucleotides and nucleic acids in a prebiotic environment are discussed. The section on the RNA world is expanded to include the artificial evolution of a novel ribozyme involved in nucleotide synthesis.

**Chapter 5 An Introduction to Carbohydrates** The molecular basis for resistance of structural polymers, such as cellulose, to degradation is clarified. A new research box illustrates the role of carbohydrates in cellular recognition and attachment using the egg and sperm of mice as a model system.

**Chapter 6 Lipids, Membranes, and the First Cells** New content on lipid and membrane evolution and the proposed characteristics of the first protocell is introduced. The aquaporin and potassium channel figures are updated; how key amino acids serve as selectivity filters is now highlighted.

**Chapter 7 Inside the Cell** Several new electron micrographs were selected to more clearly illustrate cell component structure and function. A new figure is added to better depict the

pulse-chase assay used to identify the secretory pathway. Coverage of nuclear transport is expanded to differentiate between passive diffusion and active nuclear import. Updated content emphasizes the role of the cytoskeleton in localizing organelles, and how polarity of microtubules and microfilaments influences their growth rate.

**Chapter 8 Energy and Enzymes: An Introduction to Pathways** This new chapter pulls together concepts in energy, chemical reactions, and enzymes that previously were covered in three different chapters. Oxidation and reduction reactions are emphasized to prepare students for Chapters 9 and 10. The energetics behind ATP hydrolysis and its role in driving endergonic reactions is discussed, and figures are revised to better illustrate the process. Updated content on enzyme regulation and a new process figure show a model for how metabolic pathways may have evolved.

**Chapter 9 Cellular Respiration and Fermentation** Two new summary tables for glycolysis and the citric acid cycle are added that provide the names of the enzymes and the reaction each catalyzes. New content is introduced to propose a connection between the universal nature of the proton motive force and the story of the chemical evolution of life.

**Chapter 10 Photosynthesis** More extensive comparison between the chemical reactions in mitochondria and chloroplasts is added. A new figure is introduced to illustrate noncyclic electron flow in the context of the thylakoid membrane. Greater emphasis is placed on the number of ATPs and NADPHs required for each cycle of carbon fixation and reduction.

**Chapter 11 Cell–Cell Interactions** Coverage of extracellular matrix structure and function is expanded, including its role in intercellular adhesions and cell signaling. The plant apoplast and symplast are now introduced as key terms in the text and illustrated in a new figure. New content and a new figure on unicellular models for intercellular communication via pheromone sensing (yeast) and quorum sensing (slime mold) are added.

**Chapter 12 The Cell Cycle** A new figure helps explain the pulse-chase assay for identifying phases of the cell cycle. Content is added to the text and to a figure that illustrates the similarities between chromosome segregation in eukaryotes and prokaryotes. A revised description of anaphase emphasizes how microtubule fraying at the kinetochore can drive chromosome movement. The explanation of how phosphorylation and dephosphorylation turns on MPF activity is updated to reflect current research.

**Chapter 13 Meiosis** To improve the flow of the chapter, the section on advantages of sexual reproduction was moved to before mistakes in meiosis. The discussion of the role and timing of

crossing over during meiosis I is updated. A new study that supports the hypothesis that sex evolved in response to the selective pressure of pathogens is introduced.

**Chapter 14 Mendel and the Gene** Material on gene linkage is revised to emphasize the importance of genetic mapping. A new matched set of figures on pedigree analysis brings together the various modes of transmission that were previously shown in four individual figures. A new summary table on characteristics of different patterns of inheritance is added.

**Chapter 15 DNA and the Gene: Synthesis and Repair** A new research figure is added that focuses on the relationship between telomere length and senescence in cultured somatic cells.

**Chapter 16 How Genes Work** Coverage of the evolving concept of the gene and of different types of RNA is expanded. A figure showing the karyotype of a cancer cell is revised to improve clarity.

**Chapter 17 Transcription, RNA Processing, and Translation** The sections on transcription in bacteria and eukaryotes are now separated, and content on charging tRNAs was moved to a new section. The discussion of translation is reorganized, first to emphasize the process in bacteria and then to highlight differences in eukaryotes.

**Chapter 18 Control of Gene Expression in Bacteria** Coverage of *lac* operon positive regulation is updated to reflect current research. A new section and new process figure on global gene regulation are added, using the *lexA* regulon as an example.

**Chapter 19 Control of Gene Expression in Eukaryotes** Extensive updates to the discussion of epigenetics include a new research box and a section on DNA methylation. Coverage of transcription initiation is updated to reflect current science. A new figure illustrates the role of p53 in the cell cycle in normal and cancerous cells.

**Chapter 20 Analyzing and Engineering Genes** The material on sequencing the Neanderthal genome is updated, including evidence of limited Neanderthal genetic material in some modern human populations. New information on current generation sequencing technologies and massive parallelism is added. Recent advances in gene therapy are highlighted.

**Chapter 21 Genomics and Beyond** Extensive updates throughout reflect recent advances in genomics. Changes include sequence database statistics, genomes that have been sequenced to study evolutionary relationships, and new figures illustrating gene count versus genome size in prokaryotes and eukaryotes and functional classes of human DNA sequences. A new section on systems biology is added. Also included are notes on the discovery of widespread transcription of eukaryotic genomes, deep sequencing, and the spectrum of mutations in human tumors.

**Chapter 22 Principles of Development** New information is added on dedifferentiation in induced pluripotent stem cells, maternal genes in *Drosophila* development, how morphogens work, and tool-kit genes. The order of topics in the discussion

of developmental principles is reorganized. The figure on *Hox* genes in *Drosophila* and the mouse is updated.

**Chapter 23 An Introduction to Animal Development** The chapter is streamlined by focusing on principles of animal development. The discussion of gametogenesis was moved to Chapter 50 (Animal Reproduction). The presentation of fertilization is simplified, and a new figure summarizing steps of fertilization is added. The figure on gastrulation is modified to better depict the arrangement of the germ layers and their movement.

**Chapter 24 An Introduction to Plant Development** The chapter is modified to impart an evolutionary perspective on the similarities and differences in plant and animal development. The chapter also was streamlined by removing material such as details of gametogenesis, which now appears in Chapter 41 (Plant Reproduction).

**Chapter 25 Evolution by Natural Selection** Several new key passages are included, among them the use of the Grand Canyon as a context for understanding relative dating of fossils, Darwin's artificial selection experiments with fancy pigeons, and Malthus's concept of struggle for existence. A new example of people living at high altitude in Tibet clarifies the difference between acclimatization and adaptation. An illustrated summary table of common misconceptions is added.

**Chapter 26 Evolutionary Processes** Discussion of sexual selection now falls within the section on natural selection, and the terms intersexual and intrasexual selection are added. Several new examples replace those in the Fourth Edition, including inbreeding depression in Florida panthers, gene flow in Oregon steelhead trout, and lateral gene transfer in aphids. An illustrated summary table is added on modes of selection.

**Chapter 27 Speciation** Several points are clarified, such as the gradient-like (rather than all-or-nothing) nature of reproductive isolation. The section on polyploidy is reorganized and the figures revised, including a side-by-side comparison of autopolyploidy and allopolyploidy.

**Chapter 28 Phylogenies and the History of Life** The phylogenetics section is reorganized and expanded to include three illustrated summary tables and updated life-history timelines. New content is added, including the concept of the Anthropocene, the calendar analogy to the history of the Earth, the Chengjiang fossils, and a Life-in-the-Cambrian illustration. Evidence for the impact hypothesis is combined into an illustrated summary table.

**Chapter 29 Bacteria and Archaea** The chapter is updated to include a description of metagenomic experiments with an emphasis on the role of gut bacteria in digestion. A newly recognized phylum of Archaea, the Thaumarchaeota, is included, and the table comparing key characteristics of the Bacteria, Archaea, and Eukarya is streamlined.

**Chapter 30 Protists** For simplicity, protist lineages are now referred to throughout the chapter by their more familiar common names. Also, some key lineage boxes were consolidated to

trim the number to one box per major lineage. Discussion of the origin of the nuclear envelope and mitochondria is expanded to reflect new thinking on the evolution of eukaryotic cells. Protist life cycle figures are significantly overhauled.

**Chapter 31 Green Algae and Land Plants** Coverage of the evolution of land plants is expanded to include the importance of UV light and UV-absorbing molecules on the colonization of land. The "Redwood group" is now referred to as the Cupressophyta. Updates emphasize the role(s) of each stage of a life cycle in dispersal and in increasing genetic variation and individual numbers. A new research box is added, showing the importance of flower color to pollinator preference. Plant life-cycle figures are significantly overhauled.

**Chapter 32 Fungi** Coenocytic fungal hyphae are illustrated with a new image showing GFP-labeled nuclei in *Neurospora crassa*. The chapter now points out the similarity between fungal and animal modes of nutrition, in terms of extracellular digestion and absorption of small molecules. The discussion of lignin degradation is updated, and new descriptions of mutualisms of fungi with animals are included. Fungal life cycle figures are significantly overhauled.

**Chapter 33 An Introduction to Animals** The chapter is extensively revised to streamline and modernize the presentation, including emphasis on genetic tool kits and symmetry in the phylogeny of animals. The "Themes of Diversification" section is reorganized around five illustrated summary tables. The discussion of life cycles is revised to be more general. Insect metamorphosis has moved to Chapter 34, and a sea urchin life cycle replaces *Obelia*.

**Chapter 34 Protostome Animals** Two themes are threaded throughout the chapter: the water-to-land transition and modular body plans. The section on lophotrochozoans emphasizes spiral cleavage, indirect versus direct development, hemocoels, and radulas. The section on ecdysozoans highlights segmentation and *Hox* genes, including discussion of the origin of the wing and metamorphosis. Key lineage boxes include new phylogenies for annelids, crustaceans, and chelicerates.

**Chapter 35 Deuterostome Animals** Updates to reflect current research include revised phylogenies, evolution of flight and feathers, *Australopithecus sediba*, human migration out of Africa, and genetic evidence for interbreeding of *Homo neanderthalensis* and *Homo sapiens*.

**Chapter 36 Viruses** New content focuses on how viruses contribute to evolution via lateral gene transfer and direct addition of genes to cellular genomes. Content is updated and expanded on viral structure and function, and on lytic and latent infections. Three new figures are added, including a comparison of replication of viruses and cells, how pandemic strains of influenza arise via reassortment, and the devastating impact of the 1918 influenza pandemic.

Chapter 37 Plant Form and Function The use of terminology is streamlined for consistency and clarity. For example, "lateral meristem" is replaced with "vascular cambium" to avoid confusion with lateral buds, and the description of bark is clarified to avoid using the term phelloderm. Several complex figures were converted to illustrated summary tables.

**Chapter 38 Water and Sugar Transport in Plants** The chapter is revised to improve accuracy, and points out that water loss is also a means for transporting minerals from roots to shoots. Updated content clarifies the role of energy expenditure in moving water across roots, and the Casparian strip as a barrier to the back diffusion of ions and water out of the root. A new research figure shows the importance of the sucrose proton symporter in long-distance transport in *Arabidopsis*.

**Chapter 39 Plant Nutrition** In this chapter the coverage of mycorrhizae is modified to emphasize their overall role in nutrient acquisition. In the section on nitrogen fixation, a description of the worldwide practice of crop rotation involving legumes and grains is added.

**Chapter 40 Plant Sensory Systems, Signals, and Responses** A new research box is added that reveals the essential role of PHOT1 phosphorylation in phototropism. A section on the effect of day length on flowering was moved here from Chapter 41 and is integrated with the discussion of phytochromes. New content on the role of plasmodesmata in plant action potentials is added. The section on how plants respond to pathogens is simplified and updated with an example of control of stomata during a bacterial infection.

**Chapter 41 Plant Reproduction** To provide a clearer example of a gametophyte-dominant life cycle, the liverwort life cycle has been replaced with a moss life cycle. The term "pollination syndrome" is clarified. Also, a research box on how capsaicin prevents seed predation and facilitates dispersal was reinstated from an earlier edition of *Biological Science*.

**Chapter 42 Animal Form and Function** The chapter includes a new experiment illustrating physiological trade-offs, along with improved examples of thermoregulatory strategies in animals. Several complex figures were converted to illustrated summary tables.

**Chapter 43 Water and Electrolyte Balance in Animals** The chapter is reorganized to better integrate the relationship between excretion and water and electrolyte balance. Osmoregulatory strategies are now organized according to the challenges presented by marine, freshwater, and terrestrial habitats. Coverage of osmoregulation in bony fishes versus cartilaginous fishes, mammalian kidney function, and how nonmammalian vertebrates concentrate their urine is expanded and clarified.

**Chapter 44 Animal Nutrition** This chapter contains new information on nutritional imbalances, including diabetes and obesity.

**Chapter 45 Gas Exchange and Circulation** Discussion of the insect tracheal system is expanded, including new content on how respiration restricts upper limits of body size of insects.

Details regarding the lymphatic system and heart anatomy in vertebrates are updated, and a new section on cardiovascular disease is added.

**Chapter 46 Electrical Signals in Animals** This chapter is greatly expanded to reflect recent research and growing interest in neuroscience. New information includes comparative anatomy of vertebrate brains, more case studies of brain injuries or dysfunctions that have led to major discoveries in neuroscience, and the concept of neuroplasticity—especially neurogenesis.

**Chapter 47 Animal Sensory Systems** Content from the Fourth Edition has been split into two chapters (47, Animal Sensory Systems; 48, Animal Movement). The chapter on sensory systems is now organized by type of sensory reception: mechanoreception (with new coverage on the lateral line system of fishes), photoreception, chemoreception (with new coverage of pheromones), and a new section introducing thermoreception, electroreception, and magnetoreception.

**Chapter 48 Animal Movement** This new chapter introduces the importance of movement in animals, building from small to large scale. The mechanism of muscle contraction (with revised figures) is covered, followed by discussions of types of muscle tissue (with new content on skeletal-muscle fiber types and parallel- versus pennate-muscle fiber orientation), and skeletal systems (hydrostatic skeletons, exoskeletons, endoskeletons). A completely new final section discusses how biologists study locomotion on land, in the air, and in the water.

**Chapter 49 Chemical Signals in Animals** Figures and content are updated for clarity. The chapter includes a new section on endocrine disruptors.

**Chapter 50 Animal Reproduction** New content includes details of sperm and egg structure and function, reproduction in the spotted hyena, and human contraceptive methods.

**Chapter 51 The Immune System in Animals** Coverage of the innate immune response is expanded to include more detail on Toll-like receptors and how they transmit signals. The section on adaptive immunity is reorganized to improve flow. Updated content on inappropriate immune responses (autoimmunity and allergies) and inadequate responses (immunodeficiency) is grouped together in one section. The hygiene hypothesis is introduced to explain the growing trend of inappropriate immune responses in populations that have reduced exposure to common pathogens and parasites.

**Chapter 52 An Introduction to Ecology** The first section on levels of ecological study is expanded to include global ecology. The rest of the chapter is reorganized, beginning with the factors that determine the distribution and abundance of organisms (including a new Argentine ant case study) and ending with biomes. The biome section is streamlined with a new emphasis on human impacts, including an introduction to anthropogenic biomes and the Anthropocene.

**Chapter 53 Behavioral Ecology** The introduction is revised to provide a clearer framework for types of behavior. Sections are now organized around proximate versus ultimate causation. These new examples replace those in the Fourth Edition: Argentine ant territorial behavior (replacing spiny lobsters), optimal foraging in gerbils (replacing white-fronted bee-eaters), sexual selection in *Anolis* lizards (replacing barn swallows), and map orientation in green sea turtles.

**Chapter 54 Population Ecology** A new introductory section focuses on the distribution of organisms in populations, including dispersion patterns and a consolidated discussion of measurement methods. The human population content is updated and separated into a new section. The quantitative methods boxes and life table are now more student friendly.

**Chapter 55 Community Ecology** Several changes to content are made, such as a clarification of competitive exclusion versus niche differentiation. New content includes a summary table on constitutive defenses, a discovery story on mimicry (including Bates and Müller), an introduction to food webs, and the process of soil formation in primary succession.

**Chapter 56 Ecosystems** The title and scope of the chapter are updated to include global ecology. Extensive revisions include many content updates and new figures on the relationship between GPP and NPP, the one-way flow of energy and cycling of nutrients, the food web, open versus closed aquifers, the High Plains Aquifer, the biomagnification of DDT, and the greenhouse effect. The climate change section is expanded and updated, including an illustrated summary table.

**Chapter 57 Biodiversity and Conservation Biology** Throughout the chapter, there is more emphasis on conserving ecosystem function. Many new examples are added, including Smits's restoration project in Borneo, the Census of Marine Life, the IUCN Red List, the Sinervo lizard extinction experiment, and Florida panther genetic restoration. New summary tables highlight ecosystem services and threats to biodiversity.

# Acknowledgments

### **Reviewers**

The peer review system is the key to quality and clarity in science publishing. In addition to providing a filter, the investment that respected individuals make in vetting the material—catching errors or inconsistencies and making suggestions to improve the presentation—gives authors, editors, and readers confidence that the text meets rigorous professional standards.

Peer review plays the same role in textbook publishing. The time and care that this book's reviewers have invested is a tribute to their professional integrity, their scholarship, and their concern for the quality of teaching. Virtually every paragraph in this edition has been revised and improved based on insights from the following individuals.

Tamarah Adair, Baylor University Sandra D. Adams, Montclair State University Marc Albrecht, University of Nebraska at Kearney Larry Alice, Western Kentucky University Leo M. Alves, Manhattan College David R. Angelini, American University Dan Ardia, Franklin & Marshall College Paul Arriola, Elmhurst College Davinderjit K. Bagga, University of Montevallo Susan Barrett, Wheaton College Donald Baud, University of Memphis Vernon W. Bauer, Francis Marion University Robert Bauman, Amarillo College Christopher Beck, Emory University Vagner Benedito, West Virginia University Scott Bingham, Arizona State University Stephanie Bingham, Barry University Wendy Birky, California State University, Northridge Jason Blank, California Polytechnic State University Kristopher A. Blee, California State University, Chico Margaret Bloch-Qazi, Gustavus Adolphus College Lanh Bloodworth, Florida State College at Jacksonville Catherine H. Borer, Berry College James Bottesch, Brevard Community College Jacqueline K. Bowman, Arkansas Tech University John Bowman, University of California, Davis Chris Brochu, University of Iowa Matthew Brown, Dalhousie University Mark Browning, Purdue University Carolyn J. W. Bunde, Idaho State University David Byres, Florida State College at Jacksonville Michael Campbell, Penn State Erie Manel Camps, University of California, Santa Cruz Geralyn M. Caplan, Owensboro Community and Technical College Richard Cardullo, University of California, Riverside

David Carlini, American University Dale Casamatta, University of North Florida Deborah Chapman, University of Pittsburgh Joe Coelho, Quincy University Allen Collins, Smithsonian Museum of Natural History Robert A. Colvin, Ohio University Kimberly L. Conner, Florida State College at Jacksonville Karen Curto, University of Pittsburgh Clarissa Dirks, Evergreen State College Peter Ducey, SUNY Cortland Erastus Dudley, Huntingdon College Jeffrey P. Duguay, Delta State University Tod Duncan, University of Colorado, Denver Joseph Esdin, University of California, Los Angeles Brent Ewers, University of Wyoming Amy Farris, Ivy Tech Community College Bruce Fisher, Roane State Community College Ryan Fisher, Salem State University David Fitch, New York University Elizabeth Fitch, Motlow State Community College Michael P. Franklin, California State University, Northridge Susannah French, Utah State University Caitlin Gabor, Texas State University Matthew Gilg, University of North Florida Kendra Greenlee, North Dakota State University Patricia A. Grove, College of Mount Saint Vincent Nancy Guild, University of Colorado, Boulder Cynthia Hemenway, North Carolina State University Christopher R. Herlihy, Middle Tennessee State University Kendra Hill, South Dakota State University Sara Hoot, University of Wisconsin, Milwaukee Kelly Howe, University of New Mexico Robin Hulbert, California Polytechnic State University Rick Jellen, Brigham Young University Russell Johnson, Colby College William Jira Katembe, Delta State University Elena K. Keeling, California Polytechnic State University Jill B. Keeney, Juniata College Greg Kelly, University of Western Ontario Scott L. Kight, Montclair State University Charles Knight, California Polytechnic State University Jenny Knight, University of Colorado, Boulder William Kroll, Loyola University Chicago Dominic Lannutti, El Paso Community College Brenda Leady, University of Toledo David Lindberg, University of California, Berkeley Barbara Lom, Davidson College Robert Maxwell, Georgia State University Marshall D. McCue, St. Mary's University Kurt A. McKean, SUNY Albany Michael Meighan, University of California, Berkeley John Merrill, Michigan State University

Richard Merritt, Houston Community College Alan Molumby, University of Illinois at Chicago Jeremy Montague, Barry University Chad E. Montgomery, Truman State University Kimberly D. Moore, Lone Star College System, North Harris Michael Morgan, Berry College James Mulrooney, Central Connecticut State University John D. Nagy, Scottsdale Community College Margaret Olney, St. Martin's University Nathan Okia, Auburn University at Montgomery Robert Osuna, SUNY Albany Daniel Panaccione, West Virginia University Stephanie Pandolfi, Michigan State University Michael Rockwell Parker, Monell Chemical Senses Center Lisa Parks, North Carolina State University Nancy Pelaez, Purdue University Shelley W. Penrod, Lone Star College System, North Harris Andrea Pesce, James Madison University Raymond Pierotti, University of Kansas Melissa Ann Pilgrim, University of South Carolina Upstate Paul Pillitteri, Southern Utah University Debra Pires, University of California, Los Angeles P. David Polly, Indiana University, Bloomington Vanessa Quinn, Purdue University North Central Stacey L. Raimondi, Elmhurst College Stephanie Randell, McLennan Community College Marceau Ratard, Delgado Community College Flona Redway, Barry University Srebrenka Robic, Agnes Scott College Dave Robinson, Bellarmine University George Robinson, SUNY Albany Adam W. Rollins, Lincoln Memorial University Amanda Rosenzweig, Delgado Community College Leonard C. Salvatori, Indian River State College Dee Ann Sato, Cypress College Leena Sawant, Houston Community College Jon Scales, Midwestern State University Oswald Schmitz, Yale University Joan Sharp, Simon Fraser University Julie Schroer, North Dakota State University Timothy E. Shannon, Francis Marion University Lynnette Sievert, Emporia State University Susan Skambis, Valencia College Ann E. Stapleton, University of North Carolina, Wilmington Mary-Pat Stein, California State University, Northridge Christine Strand, California Polytechnic State University Denise Strickland, Midlands Technical College Jackie Swanik, Wake Technical Community College Billie J. Swalla, University of Washington Zuzana Swigonova, University of Pittsburgh Briana Timmerman, University of South Carolina Catherine Ueckert, Northern Arizona University Sara Via, University of Maryland, College Park Thomas J. Volk, University of Wisconsin-La Crosse Jeffrey Walck, Middle Tennessee State University Andrea Weeks, George Mason University Margaret S. White, Scottsdale Community College Steven D. Wilt, Bellarmine University Candace Winstead, California Polytechnic State University James A. Wise, Hampton University

### Correspondents

One of the most enjoyable interactions we have as textbook authors is correspondence or conversations with researchers and teachers who take the time and trouble to contact us to discuss an issue with the book, or who respond to our queries about a particular data set or study. We are always amazed and heartened by the generosity of these individuals. They care, deeply.

Lawrence Alice, Western Kentucky University David Baum, University of Wisconsin-Madison Meredith Blackwell, Louisiana State University Nancy Burley, University of California, Irvine Thomas Breithaupt, University of Hull Philip Cantino, Ohio University Allen Collins, Smithsonian Museum of Natural History Robert Full, University of California, Berkeley Arundhati Ghosh, University of Pittsburgh Jennifer Gottwald, University of Wisconsin-Madison Jon Harrison, Arizona State University David Hawksworth, Natural History Museum, London Jim Herrick, James Madison University John Hunt, University of Exeter Doug Jensen, Converse College Scott Kight, Montclair State University Scott Kirkton, Union College Mimi Koehl, University of California, Berkeley Rodger Kram, University of Colorado Matthew McHenry, University of California, Irvine Alison Miyamoto, California State University, Fullerton Sean Menke, Lake Forest College Rich Mooi, California Academy of Sciences Michael Oliver, MalawiCichlids.com M. Rockwell Parker, Monell Chemical Senses Center Andrea Pesce, James Madison University Chris Preston, Monterey Bay Aquarium Research Institute Scott Sakaluk, Illinois State University Kyle Seifert, James Madison University Jos Snoeks, Royal Museum for Central Africa Jeffrey Spring, University of Louisiana Christy Strand, California Polytechnic State University, San Luis Obispo Torsten Struck, University of Osnabrueck, Germany Oswald Schmitz, Yale University Ian Tattersal, American Museum of Natural History Robert Turgeon, Cornell University Tom Volk, University of Wisconsin-La Crosse Naomi Wernick, University of Massachusetts, Lowell

### Supplements Contributors

Instructors depend on an impressive array of support materials in print and online—to design and deliver their courses. The student experience would be much weaker without the study guide, test bank, activities, animations, quizzes, and tutorials written by the following individuals.

Brian Bagatto, *University of Akron* Scott Bingham, *Arizona State University* Jay L. Brewster, *Pepperdine University*  Mirjana Brockett, Georgia Institute of Technology Warren Burggren, University of North Texas Jeff Carmichael, University of North Dakota Tim Christensen, East Carolina University Erica Cline, University of Washington-Tacoma Patricia Colberg, University of Wyoming Elia Crisucci, University of Pittsburgh Elizabeth Cowles, Eastern Connecticut State University Clarissa Dirks, Evergreen State College Lisa Elfring, University of Arizona, Tucson Brent Ewers, University of Wyoming Rebecca Ferrell, Metropolitan State University of Denver Miriam Ferzli, North Carolina State University Cheryl Frederick, University of Washington Cindee Giffen, University of Wisconsin-Madison Kathy M. Gillen, Kenyon College Linda Green, Georgia Institute of Technology Christopher Harendza, Montgomery County Community College Cynthia Hemenway, North Carolina State University Laurel Hester, University of South Carolina Jean Heitz, University of Wisconsin-Madison Tracey Hickox, University of Illinois, Urbana-Champaign Jacob Kerby, University of South Dakota David Kooyman, Brigham Young University Barbara Lom, Davidson College Cindy Malone, California State University, Northridge Jim Manser, retired, Harvey Mudd College Jeanette McGuire, Michigan State University Mark Music, Indian River State College Jennifer Nauen, University of Delaware Chris Pagliarulo, University of California, Davis Stephanie Scher Pandolfi, Michigan State University Lisa Parks, North Carolina State University Debra Pires, University of California, Los Angeles Carol Pollock, University of British Columbia Jessica Poulin, University at Buffalo, the State University of New York Vanessa Quinn, Purdue University North Central Eric Ribbens, Western Illinois University Christina T. Russin, Northwestern University Leonard Salvatori, Indian River State College Joan Sharp, Simon Fraser University Chrissy Spencer, Georgia Institute of Technology Mary-Pat Stein, California State University, Northridge Suzanne Simon-Westendorf, Ohio University Fred Wasserman, Boston University Cindy White, University of Northern Colorado Edward Zalisko, Blackburn College

#### **Book Team**

Anyone who has been involved in producing a textbook knows that many people work behind the scenes to make it all happen. The coauthor team is indebted to the many talented individuals who have made this book possible.

Development editors Mary Catherine Hager, Moira Lerner-Nelson, and Bill O'Neal provided incisive comments on the revised manuscript. Fernanda Oyarzun and Adam Steinberg used their artistic sense, science skills, and love of teaching to hone the figures for many chapters. The final version of the text was copyedited by Chris Thillen and expertly proofread by Pete Shanks. The final figure designs were rendered by Imagineering Media Services and carefully proofread by Frank Purcell. Maureen Spuhler, Eric Schrader, and Kristen Piljay researched images for the Fifth Edition.

The book's clean, innovative design was developed by Mark Ong and Emily Friel. Text and art were skillfully set in the design by S4Carlisle Publishing Services. The book's production was supervised by Lori Newman and Mike Early.

The extensive supplements program was managed by Brady Golden and Katie Cook. All of the individuals mentioned—and more—were supported with cheerful, dedicated efficiency by Editorial Assistant Leslie Allen for the first half of the project; Eddie Lee has since stepped in to skillfully fill this role.

Creating MasteringBiology<sup>®</sup> tutorials and activities also requires a team. Media content development was overseen by Tania Mlawer and Sarah Jensen, who benefited from the program expertise of Caroline Power and Caroline Ross. Joseph Mochnick and Daniel Ross worked together as media producers. Lauren Fogel (VP, Director, Media Development), Stacy Treco (VP, Director, Media Product Strategy), and Laura ensured that the complete media program that accompanies the Fifth Edition, including MasteringBiology, will meet the needs of the students and professors who use our offerings.

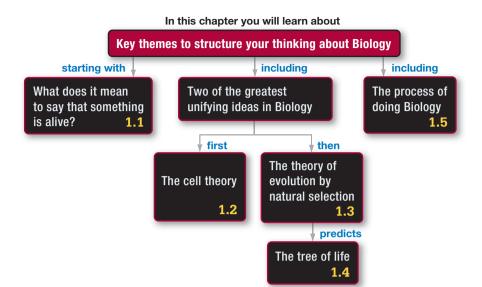
Pearson's talented sales reps, who listen to professors, advise the editorial staff, and get the book in students' hands, are supported by tireless Executive Marketing Manager Lauren Harp and Director of Marketing Christy Lesko. The marketing materials that support the outreach effort were produced by Lillian Carr and her colleagues in Pearson's Marketing Comunications group. David Theisen, national director for Key Markets, tirelessly visits countless professors each year, enthusiastically discussing their courses and providing us with meaningful editorial guidance.

The vision and resources required to run this entire enterprise are the responsibility of Vice President and Editor-in-Chief Beth Wilbur, who provided inspirational and focused leadership, and President of Pearson Science Paul Corey, who displays unwavering commitment to high-quality science publishing.

Becky Ruden recruited the coauthor team, drawing us to the project with her energy and belief in this book. The editorial team was skillfully directed by Executive Director of Development Deborah Gale. Finally, we are deeply grateful for three key drivers of the Fifth Edition. Project Editor Anna Amato's superb organizational skills and calm demeanor assured that all the wheels and cogs of the process ran smoothly to keep the mammoth project steadily rolling forward. Supervising Development Editor Sonia DiVittorio's deep expertise, creative vision, keen attention to detail, level, and clarity, and inspiring insistence on excellence kept the bar high for everyone on every aspect of the project. Lastly, Senior Acquisitions Editor Michael Gillespie's boundless energy and enthusiasm, positive attitude, and sharp intellect have fueled and united the team and also guided the book through the hurdles to existence. The coauthor team thanks these exceptional people for making the art and science of book writing a productive and exhilarating process.

*This page intentionally left blank* 

# 1 Biology and the Tree of Life



These Chinese water dragon hatchlings are exploring their new world and learning how to find food and stay alive. They represent one of the key characteristics of life introduced in this chapter—replication.



n essence, biological science is a search for ideas and observations that unify our understanding of the diversity of life, from bacteria living in rocks a mile underground to humans and majestic sequoia trees. This chapter is an introduction to this search.

The goals of this chapter are to introduce the nature of life and explore how biologists go about studying it. The chapter also introduces themes that will resonate throughout this book:

- Analyzing how organisms work at the molecular level.
- Understanding organisms in terms of their evolutionary history.
- Helping you learn to think like a biologist.

Let's begin with what may be the most fundamental question of all: What is life?

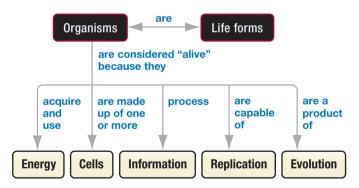


This chapter is part of the Big Picture. See how on pages 16–17.

# **1.1** What Does It Mean to Say That Something Is Alive?

An **organism** is a life-form—a living entity made up of one or more cells. Although there is no simple definition of life that is endorsed by all biologists, most agree that organisms share a suite of five fundamental characteristics.

- *Energy* To stay alive and reproduce, organisms have to acquire and use energy. To give just two examples: plants absorb sunlight; animals ingest food.
- *Cells* Organisms are made up of membrane-bound units called cells. A cell's membrane regulates the passage of materials between exterior and interior spaces.
- *Information* Organisms process hereditary, or genetic, information encoded in units called genes. Organisms also respond to information from the environment and adjust to maintain stable internal conditions. Right now, cells throughout your body are using information to make the molecules that keep you alive; your eyes and brain are decoding information on this page that will help you learn some biology, and if your room is too hot you might be sweating to cool off.
- *Replication* One of the great biologists of the twentieth century, François Jacob, said that the "dream of a bacterium is to become two bacteria." Almost everything an organism does contributes to one goal: replicating itself.
- *Evolution* Organisms are the product of evolution, and their populations continue to evolve.



You can think of this text as one long exploration of these five traits. Here's to life!

## **1.2** The Cell Theory

Two of the greatest unifying ideas in all of science laid the groundwork for modern biology: the cell theory and the theory of evolution by natural selection. Formally, scientists define a **theory** as an explanation for a very general class of phenomena or observations that are supported by a wide body of evidence. The cell theory and theory of evolution address fundamental questions: What are organisms made of? Where do they come from?

When these concepts emerged in the mid-1800s, they revolutionized the way biologists think about the world. They established two of the five attributes of life: Organisms are cellular, and their populations change over time.

Neither insight came easily, however. The cell theory, for example, emerged after some 200 years of work. In 1665 the Englishman Robert Hooke devised a crude microscope to examine the structure of cork (a bark tissue) from an oak tree. The instrument magnified objects to just  $30 \times (30 \text{ times})$  their normal size, but it allowed Hooke to see something extraordinary. In the cork he observed small, pore-like compartments that were invisible to the naked eye. Hooke coined the term "cells" for these structures because of their resemblance to the cells inhabited by monks in a monastery.

Soon after Hooke published his results, a Dutch scientist named Anton van Leeuwenhoek succeeded in developing much more powerful microscopes, some capable of magnifications up to 300×. With these instruments, van Leeuwenhoek inspected samples of pond water and made the first observations of a dazzling collection of single-celled organisms that he called "animalcules." He also observed and described human blood cells and sperm cells, shown in **FIGURE 1.1**.

In the 1670s an Italian researcher who was studying the leaves and stems of plants with a microscope concluded that plant tissues were composed of many individual cells. By the early 1800s, enough data had accumulated for a German biologist to claim that *all* organisms consist of cells. Did this claim hold up?

#### All Organisms Are Made of Cells

Advances in microscopy have made it possible to examine the amazing diversity and complexity of cells at higher and higher magnifications. Biologists have developed microscopes that are tens of thousands of times more powerful than van Leeuwenhoek's and have described over a million new species. The basic conclusion made in the 1800s remains intact, however: All organisms are made of cells.

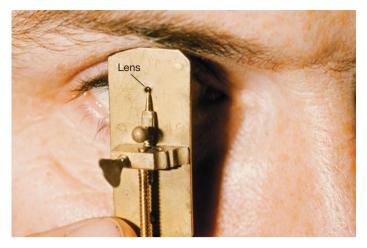
The smallest organisms known today are bacteria that are barely 200 nanometers wide, or 200 *billionths* of a meter. (See **BioSkills 1** in Appendix B to review the metric system and its prefixes.<sup>1</sup>) It would take 5000 of these organisms lined up side by side to span a millimeter. This is the distance between the smallest hash marks on a metric ruler. In contrast, sequoia trees can be over 100 meters tall. This is the equivalent of a 20-story building. Bacteria and sequoias are composed of the same fundamental building block, however—the cell. Bacteria consist of a single cell; sequoias are made up of many cells.

Today a **cell** is defined as a highly organized compartment that is bounded by a thin, flexible structure called a plasma membrane and that contains concentrated chemicals in an aqueous (watery) solution. The chemical reactions that sustain life take place inside cells. Most cells are also capable of reproducing by dividing—in effect, by making a copy of themselves.

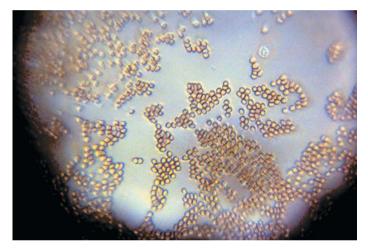
The realization that all organisms are made of cells was fundamentally important, but it formed only the first part of the cell

<sup>&</sup>lt;sup>1</sup>BioSkills are located in the second appendix at the back of the book. They focus on general skills that you'll use throughout this course. More than a few students have found them to be a life-saver. Please use them!

(a) van Leeuwenhoek built his own microscopes—which, while small, were powerful. They allowed him to see, for example . . .



(b) . . . human blood cells (this modern photo was shot through one of van Leeuwenhoek's original microscopes) . . .



(c) . . . and animal sperm (drawing by van Leeuwenhoek of canine sperm cells on left, human on right).

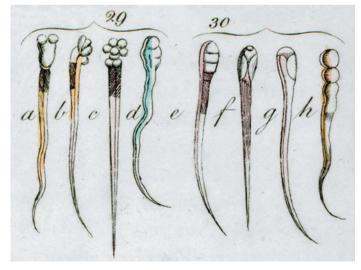


FIGURE 1.1 Van Leeuwenhoek's Microscope Made Cells Visible.

theory. In addition to understanding what organisms are made of, scientists wanted to understand how cells come to be.

#### Where Do Cells Come From?

Most scientific theories have two components: The first describes a pattern in the natural world; the second identifies a mechanism or process that is responsible for creating that pattern. Hooke and his fellow scientists articulated the pattern component of the cell theory. In 1858, a German scientist named Rudolph Virchow added the process component by stating that all cells arise from preexisting cells.

The complete **cell theory** can be stated as follows: All organisms are made of cells, and all cells come from preexisting cells.

**Two Hypotheses** The cell theory was a direct challenge to the prevailing explanation of where cells come from, called spontaneous generation. In the mid-1800s, most biologists believed that organisms could arise spontaneously under certain conditions. For example, the bacteria and fungi that spoil foods such as milk and wine were thought to appear in these nutrient-rich media of their own accord—springing to life from nonliving materials. In contrast, the cell theory maintained that cells do not spring to life spontaneously but are produced only when preexisting cells grow and divide. The all-cells-from-cells explanation was a **hypothesis:** a testable statement to explain a phenomenon or a set of observations.

Biologists usually use the word theory to refer to proposed explanations for broad patterns in nature and prefer hypothesis to refer to explanations for more tightly focused questions. A theory serves as a framework for the development of new hypotheses.

**An Experiment to Settle the Question** Soon after Virchow's allcells-from-cells hypothesis appeared in print, a French scientist named Louis Pasteur set out to test its predictions experimentally. An experimental **prediction** describes a measurable or observable result that must be correct if a hypothesis is valid.

Pasteur wanted to determine whether microorganisms could arise spontaneously in a nutrient broth or whether they appear only when a broth is exposed to a source of preexisting cells. To address the question, he created two treatment groups: a broth that was not exposed to a source of preexisting cells and a broth that was.

The spontaneous generation hypothesis predicted that cells would appear in both treatment groups. The all-cells-from-cells hypothesis predicted that cells would appear only in the treatment exposed to a source of preexisting cells.

**FIGURE 1.2** (on page 4) shows Pasteur's experimental setup. Note that the two treatments are identical in every respect but one. Both used glass flasks filled with the same amount of the same nutrient broth. Both were boiled for the same amount of time to kill any existing organisms such as bacteria or fungi. But because the flask pictured in Figure 1.2a had a straight neck, it was exposed to preexisting cells after sterilization by the heat treatment. These preexisting cells are the bacteria and fungi that cling to dust particles in the air. They could drop into the nutrient broth because the neck of the flask was straight.

In contrast, the flask drawn in Figure 1.2b had a long swan neck. Pasteur knew that water would condense in the crook of the swan neck after the boiling treatment and that this pool of water

3

#### RESEARCH

#### QUESTION: Do cells arise spontaneously or from other cells? SPONTANEOUS GENERATION HYPOTHESIS: Cells arise spontaneously from nonliving materials. ALL-CELLS-FROM-CELLS HYPOTHESIS: Cells are produced only when preexisting cells grow and divide. (b) Pasteur experiment with swan-necked flask: (a) Pasteur experiment with straight-necked flask: 1. Place nutrient broth in 1. Place nutrient broth in straight-necked flask. swan-necked flask. Cells Cells 2. Boil to sterilize the flask 2. Boil to sterilize the flask (killing any living cells that (killing any living cells that were in the broth). were in the broth). Condensation settles in neck No cells No cells Cells Cells 3. Preexisting cells 3. Preexisting cells enter flask from air. from air are trapped in swan neck. PREDICTION OF SPONTANEOUS GENERATION HYPOTHESIS: PREDICTION OF SPONTANEOUS GENERATION HYPOTHESIS: Cells will appear in broth. Cells will appear in broth. PREDICTION OF ALL-CELLS-FROM-CELLS HYPOTHESIS: PREDICTION OF ALL-CELLS-FROM-CELLS HYPOTHESIS: Cells will appear in broth. Cells will not appear in broth. **RESULTS:** Spontaneous generation **Both hypotheses** hypothesis Cells supported No cells rejected CONCLUSION: Cells arise from preexisting cells, not spontaneously from nonliving material.

#### FIGURE 1.2 The Spontaneous Generation and All-Cells-from-Cells Hypotheses Were Tested Experimentally.

✓ **QUESTION** What problem would arise in interpreting the results of this experiment if Pasteur had (1) put different types of broth in the two treatments, (2) heated them for different lengths of time, or (3) used a ceramic flask for one treatment and a glass flask for the other?

would trap any bacteria or fungi that entered on dust particles. Thus, the contents of the swan-necked flask were isolated from any source of preexisting cells even though still open to the air.

Pasteur's experimental setup was effective because there was only one difference between the two treatments and because that difference was the factor being tested—in this case, a broth's exposure to preexisting cells.

**One Hypothesis Supported** And Pasteur's results? As Figure 1.2 shows, the treatment exposed to preexisting cells quickly filled with bacteria and fungi. This observation was important because it showed that the heat sterilization step had not altered the nutrient broth's capacity to support growth.

The broth in the swan-necked flask remained sterile, however. Even when the flask was left standing for months, no organisms appeared in it. This result was inconsistent with the hypothesis of spontaneous generation.

Because Pasteur's data were so conclusive—meaning that there was no other reasonable explanation for them—the results persuaded most biologists that the all-cells-from-cells hypothesis was correct. However, you will see that biologists now have evidence that life did arise from nonlife early in Earth's history, through a process called chemical evolution (Chapters 2–6).

The success of the cell theory's process component had an important implication: If all cells come from preexisting cells, it follows that all individuals in an isolated population of single-celled organisms are related by common ancestry. Similarly, in you and most other multicellular individuals, all the cells present are descended from preexisting cells, tracing back to a fertilized egg. A fertilized egg is a cell created by the fusion of sperm and egg cells that formed in individuals of the previous generation. In this way, all the cells in a multicellular organism are connected by common ancestry.

The second great founding idea in biology is similar, in spirit, to the cell theory. It also happened to be published the same year as the all-cells-from-cells hypothesis. This was the realization, made independently by the English scientists Charles Darwin and Alfred Russel Wallace, that all species—all distinct, identifiable types of organisms—are connected by common ancestry.

# **1.3** The Theory of Evolution by Natural Selection

In 1858 short papers written separately by Darwin and Wallace were read to a small group of scientists attending a meeting of the Linnean Society of London. A year later, Darwin published a book that expanded on the idea summarized in those brief papers. The book was called *The Origin of Species*. The first edition sold out in a day.

#### What Is Evolution?

Like the cell theory, the theory of evolution by natural selection has a pattern and a process component. Darwin and Wallace's theory made two important claims concerning patterns that exist in the natural world.

- 1. Species are related by common ancestry. This contrasted with the prevailing view in science at the time, which was that species represent independent entities created separately by a divine being.
- 2. In contrast to the accepted view that species remain unchanged through time, Darwin and Wallace proposed that the characteristics of species can be modified from generation to generation. Darwin called this process descent with modification.

**Evolution** is a change in the characteristics of a population over time. It means that species are not independent and unchanging entities, but are related to one another and can change through time.

#### What Is Natural Selection?

This pattern component of the theory of evolution was actually not original to Darwin and Wallace. Several scientists had already come to the same conclusions about the relationships between species. The great insight by Darwin and Wallace was in proposing a process, called **natural selection**, that explains *how* evolution occurs.

**Two Conditions of Natural Selection** Natural selection occurs whenever two conditions are met.

1. Individuals within a population vary in characteristics that are **heritable**—meaning, traits that can be passed on to offspring.

A **population** is defined as a group of individuals of the same species living in the same area at the same time.

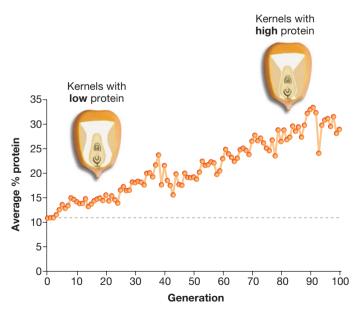
**2.** In a particular environment, certain versions of these heritable traits help individuals survive better or reproduce more than do other versions.

If certain heritable traits lead to increased success in producing offspring, then those traits become more common in the population over time. In this way, the population's characteristics change as a result of natural selection acting on individuals. This is a key insight: Natural selection acts on individuals, but evolutionary change occurs in populations.

**Selection on Maize as an Example** To clarify how selection works, consider an example of **artificial selection**—changes in populations that occur when *humans* select certain individuals to produce the most offspring. Beginning in 1896, researchers began a long-term selection experiment on maize (corn).

- 1. In the original population, the percentage of protein in maize kernels was variable among individuals. Kernel protein content is a heritable trait—parents tend to pass the trait on to their offspring.
- 2. Each year for many years, researchers chose individuals with the highest kernel protein content to be the parents of the next generation. In this environment, individuals with high kernel protein content produced more offspring than individuals with low kernel protein content.

**FIGURE 1.3** shows the results. Note that this graph plots generation number on the *x*-axis, starting from the first generation (0 on the graph) and continuing for 100 generations. The average percentage of protein in a kernel among individuals in this population is plotted on the *y*-axis.



# FIGURE 1.3 Response to Selection for High Kernel Protein Content in Maize.

DATA: Moose, S. P., J. W. Dudley, and T. R. Rocheford. 2004. *Trends in Plant Sciences* 9: 358–364; and the Illinois long-term selection experiment for oil and protein in corn (University of Illinois at Urbana–Champaign). To read this graph, put your finger on the *x*-axis at generation 0. Then read up the *y*-axis, and note that kernels averaged about 11 percent protein at the start of the experiment. Now read the graph to the right. Each dot is a data point, representing the average kernel protein concentration in a particular generation. (A generation in maize is one year.) The lines on this graph simply connect the dots, to make the pattern in the data easier to see. During a few years the average protein content goes down, because of poor growing conditions or chance changes in how the many genes responsible for this trait interact. However, at the end of the graph, after 100 generations of selection, average kernel protein content is about 29 percent. (For more help with reading graphs, see **BioSkills 3** in Appendix B.)

This sort of change in the characteristics of a population, over time, is evolution. Humans have been practicing artificial selection for thousands of years, and biologists have now documented evolution by *natural* selection—where humans don't do the selecting—occurring in thousands of different populations, including humans. Evolution occurs when heritable variation leads to differential success in reproduction.

✓ QUANTITATIVE If you understand the concepts of selection and evolution, you should be able to describe how protein content in maize kernels changed over time, using the same *x*-axis and *y*-axis as in Figure 1.3, when researchers selected individuals with the *lowest* kernel protein content to be the parents of the next generation. (This experiment was actually done, starting with the same population at the same time as selection for high protein content.)

**Fitness and Adaptation** Darwin also introduced some new terminology to identify what is happening during natural selection.

- In everyday English, fitness means health and well-being. But in biology, **fitness** means the ability of an individual to produce viable offspring. Individuals with high fitness produce many surviving offspring.
- In everyday English, adaptation means that an individual is adjusting and changing to function in new circumstances. But in biology, an **adaptation** is a trait that increases the fitness of an individual in a particular environment.

Once again, consider kernel protein content in maize: In the environment of the experiment graphed in Figure 1.3, individuals with high kernel protein content produced more offspring and had higher fitness than individuals with lower kernel protein content. In this population and this environment, high kernel protein content was an adaptation that allowed certain individuals to thrive.

Note that during this process, the amount of protein in the kernels of any individual maize plant did not change within its lifetime—the change occurred in the characteristics of the population over time.

Together, the cell theory and the theory of evolution provided the young science of biology with two central, unifying ideas:

- 1. The cell is the fundamental structural unit in all organisms.
- 2. All species are related by common ancestry and have changed over time in response to natural selection.

# check your understanding

#### If you understand that . . .

 Natural selection occurs when heritable variation in certain traits leads to improved success in reproduction. Because individuals with these traits produce many offspring with the same traits, the traits increase in frequency and evolution occurs.

• Evolution is a change in the characteristics of a population over time.

#### ✓ You should be able to ....

Using the graph you just analyzed in Figure 1.3, describe the average kernel protein content over time in a maize population where *no* selection occurred.

Answers are available in Appendix A.

### **1.4** The Tree of Life

Section 1.3 focuses on how individual populations change through time in response to natural selection. But over the past several decades, biologists have also documented dozens of cases in which natural selection has caused populations of one species to diverge and form new species. This divergence process is called **speciation**.

Research on speciation has two important implications: All species come from preexisting species, and all species, past and present, trace their ancestry back to a single common ancestor.

The theory of evolution by natural selection predicts that biologists should be able to construct a **tree of life**—a family tree of organisms. If life on Earth arose just once, then such a diagram would describe the genealogical relationships between species with a single, ancestral species at its base.

Has this task been accomplished? If the tree of life exists, what does it look like?

#### Using Molecules to Understand the Tree of Life

One of the great breakthroughs in research on the tree of life occurred when American biologist Carl Woese (pronounced *woze*) and colleagues began analyzing the chemical components of organisms as a way to understand their evolutionary relationships. Their goal was to understand the **phylogeny** of all organisms their actual genealogical relationships. Translated literally, phylogeny means "tribe-source."

To understand which organisms are closely versus distantly related, Woese and co-workers needed to study a molecule that is found in all organisms. The molecule they selected is called small subunit ribosomal RNA (rRNA). It is an essential part of the machinery that all cells use to grow and reproduce.

Although rRNA is a large and complex molecule, its underlying structure is simple. The rRNA molecule is made up of sequences of four smaller chemical components called ribonucleotides. These ribonucleotides are symbolized by the letters A, U, C, and G. In rRNA, ribonucleotides are connected to one another linearly, like the boxcars of a freight train. **Analyzing rRNA** Why might rRNA be useful for understanding the relationships between organisms? The answer is that the ribonucleotide sequence in rRNA is a trait that can change during the course of evolution. Although rRNA performs the same function in all organisms, the sequence of ribonucleotide building blocks in this molecule is not identical among species.

In land plants, for example, the molecule might start with the sequence A-U-A-U-C-G-A-G (FIGURE 1.4). In green algae, which are closely related to land plants, the same section of the molecule might contain A-U-A-U-G-G-A-G. But in brown algae, which are not closely related to green algae or to land plants, the same part of the molecule might consist of A-A-A-U-G-G-A-C.

The research that Woese and co-workers pursued was based on a simple premise: If the theory of evolution is correct, then rRNA sequences should be very similar in closely related organisms but less similar in organisms that are less closely related. Species that are part of the same evolutionary lineage, like the plants, should share certain changes in rRNA that no other species have.

To test this premise, the researchers determined the sequence of ribonucleotides in the rRNA of a wide array of species. Then they considered what the similarities and differences in the sequences implied about relationships between the species. The goal was to produce a diagram that described the phylogeny of the organisms in the study.

A diagram that depicts evolutionary history in this way is called a phylogenetic tree. Just as a family tree shows relationships between individuals, a phylogenetic tree shows relationships between species. On a phylogenetic tree, branches that share a recent common ancestor represent species that are closely related; branches that don't share recent common ancestors represent species that are more distantly related.

**The Tree of Life Estimated from Genetic Data** To construct a phylogenetic tree, researchers use a computer to find the arrangement of branches that is most consistent with the similarities and differences observed in the data.

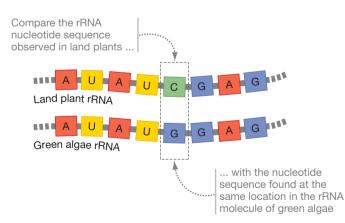
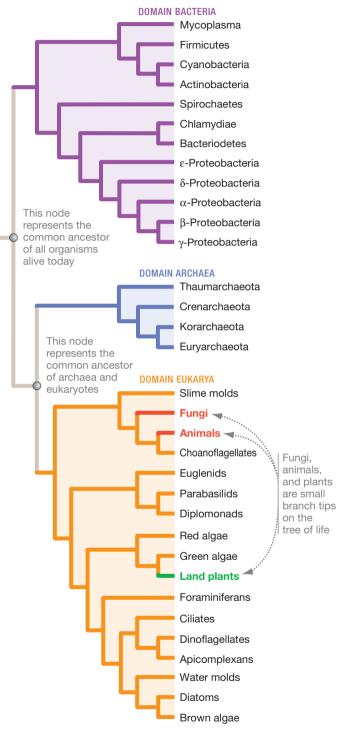


FIGURE 1.4 RNA Molecules Are Made Up of Smaller Molecules. A complete small subunit rRNA molecule contains about 2000 ribonucleotides; just 8 are shown in this comparison.

✓ **QUESTION** Suppose that in the same section of rRNA, molds and other fungi have the sequence A-U-A-U-G-G-A-C. Are fungi more closely related to green algae or to land plants? Explain your logic.

Although the initial work was based only on the sequences of ribonucleotides observed in rRNA, biologists now use data sets that include sequences from a wide array of genetic material. **FIGURE 1.5** shows a recent tree produced by comparing these sequences. Because this tree includes such a diverse array of



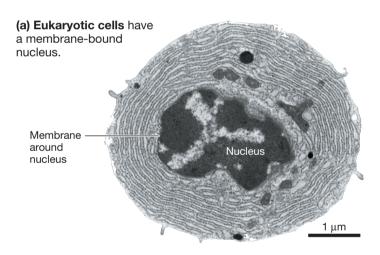
**FIGURE 1.5 The Tree of Life.** A phylogenetic tree estimated from a large amount of genetic sequence data. The three domains of life revealed by the analysis are labeled. Common names are given for lineages in the domains Bacteria and Eukarya. Phyla names are given for members of the domain Archaea, because most of these organisms have no common names.

species, it is often called the universal tree, or the tree of life. (For help in learning how to read a phylogenetic tree, see **BioSkills** 7 in Appendix B.) Notice that the tree's main node is the common ancestor of all living organisms. Researchers who study the origin of life propose that the tree's root extends even further back to the "*last universal common ancestor*" of cells, or **LUCA**.

The tree of life implied by rRNA and other genetic data established that there are three fundamental groups or lineages of organisms: (1) the Bacteria, (2) the Archaea, and (3) the Eukarya. In all **eukaryotes**, cells have a prominent component called the nucleus (**FIGURE 1.6a**). Translated literally, the word eukaryotes means "true kernel." Because the vast majority of bacterial and archaeal cells lack a nucleus, they are referred to as **prokaryotes** (literally, "before kernel"; see **FIGURE 1.6b**). The vast majority of bacteria and archaea are unicellular ("one-celled"); many eukaryotes are multicellular ("many-celled").

When results based on genetic data were first published, biologists were astonished. For example:

- Prior to Woese's work and follow-up studies, biologists thought that the most fundamental division among organisms was between prokaryotes and eukaryotes. The Archaea were virtually unknown—much less recognized as a major and highly distinctive branch on the tree of life.
- Fungi were thought to be closely related to plants. Instead, they are actually much more closely related to animals.



(b) Prokaryotic cells do not have a membrane-bound nucleus.

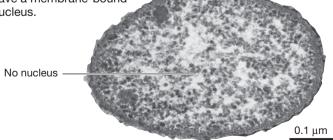


FIGURE 1.6 Eukaryotes and Prokaryotes.

✓ **QUANTITATIVE** How many times larger is the eukaryotic cell in this figure than the prokaryotic cell? (Hint: Study the scale bars.)

• Traditional approaches for classifying organisms—including the system of five kingdoms divided into various classes, orders, and families that you may have learned in high school are inaccurate in many cases, because they do not reflect the actual evolutionary history of the organisms involved.

**The Tree of Life Is a Work in Progress** Just as researching your family tree can help you understand who you are and where you came from, so the tree of life helps biologists understand the relationships between organisms and the history of species. The discovery of the Archaea and the accurate placement of lineages such as the fungi qualify as exciting breakthroughs in our understanding of evolutionary history and life's diversity.

Work on the tree of life continues at a furious pace, however, and the location of certain branches on the tree is hotly debated. As databases expand and as techniques for analyzing data improve, the shape of the tree of life presented in Figure 1.5 will undoubtedly change. Our understanding of the tree of life, like our understanding of every other topic in biological science, is dynamic.

# How Should We Name Branches on the Tree of Life?

In science, the effort to name and classify organisms is called **taxonomy.** Any named group is called a **taxon** (plural: **taxa**). Currently, biologists are working to create a taxonomy, or naming system, that accurately reflects the phylogeny of organisms.

Based on the tree of life implied by genetic data, Woese proposed a new taxonomic category called the **domain**. The three domains of life are the Bacteria, Archaea, and Eukarya.

Biologists often use the term **phylum** (plural: **phyla**) to refer to major lineages within each domain. Although the designation is somewhat arbitrary, each phylum is considered a major branch on the tree of life. Within the lineage called animals, biologists currently name 30–35 phyla—each of which is distinguished by distinctive aspects of its body structure as well as by distinctive gene sequences. For example, the mollusks (clams, squid, octopuses) constitute a phylum, as do chordates (the vertebrates and their close relatives).

Because the tree of life is so new, though, naming systems are still being worked out. One thing that hasn't changed for centuries, however, is the naming system for individual species.

**Scientific (Latin) Names** In 1735, a Swedish botanist named Carolus Linnaeus established a system for naming species that is still in use today. Linnaeus created a two-part name unique to each type of organism.

- Genus The first part indicates the organism's genus (plural: genera). A genus is made up of a closely related group of species. For example, Linnaeus put humans in the genus *Homo*. Although humans are the only living species in this genus, at least six extinct organisms, all of which walked upright and made extensive use of tools, were later also assigned to *Homo*.
- *Species* The second term in the two-part name identifies the organism's species. Linnaeus gave humans the species name *sapiens*.

An organism's genus and species designation is called its **scientific name** or Latin name. Scientific names are always italicized. Genus names are always capitalized, but species names are not—as in *Homo sapiens*.

Scientific names are based on Latin or Greek word roots or on words "Latinized" from other languages. Linnaeus gave a scientific name to every species then known, and also Latinized his own name—from Karl von Linné to Carolus Linnaeus.

Linnaeus maintained that different types of organisms should not be given the same genus and species names. Other species may be assigned to the genus *Homo*, and members of other genera may be named *sapiens*, but only humans are named *Homo sapiens*. Each scientific name is unique.

Scientific Names Are Often Descriptive Scientific names and terms are often based on Latin or Greek word roots that are descriptive. For example, *Homo sapiens* is derived from the Latin *homo* for "man" and *sapiens* for "wise" or "knowing." The yeast that bakers use to produce bread and that brewers use to brew beer is called *Saccharomyces cerevisiae*. The Greek root *saccharo* means "sugar," and *myces* refers to a fungus. *Saccharomyces* is aptly named "sugar fungus" because yeast is a fungus and because the domesticated strains of yeast used in commercial baking and brewing are often fed sugar. The species name of this organism, *cerevisiae*, is Latin for "beer." Loosely translated, then, the scientific name of brewer's yeast means "sugar-fungus for beer."

Scientific names and terms often seem daunting at first glance. So, most biologists find it extremely helpful to memorize some of the common Latin and Greek roots. To aid you in this process, new terms in this text are often accompanied by a translation of their Latin or Greek word roots in parentheses. (A glossary of common root words with translations and examples is also provided in **BioSkills 2** in Appendix B.)

# check your understanding

#### If you understand that . . .

- A phylogenetic tree shows the evolutionary relationships between species.
- To infer where species belong on a phylogenetic tree, biologists examine genetic and other characteristics of the species involved. Closely related species should have similar characteristics, while less closely related species should be less similar.

#### ✓ You should be able to ....

Examine the following rRNA ribonucleotide sequences and draw a phylogenetic tree showing the relationships between species A, B, and C that these data imply: **Species A:** A A C T A G C G C G A T

Species B	: A	A	С	Т	A	G	С	G	С	С	A	Т	
			_			_	_	_	_	_			

Species C: T T C T A G C G G T A T

Answers are available in Appendix A.

### **1.5** Doing Biology

This chapter has introduced some of the great ideas in biology. The development of the cell theory and the theory of evolution by natural selection provided cornerstones when the science was young; the tree of life is a relatively recent insight that has revolutionized our understanding of life's diversity.

These theories are considered great because they explain fundamental aspects of nature, and because they have consistently been shown to be correct. They are considered correct because they have withstood extensive testing.

How do biologists go about testing their ideas? Before answering this question, let's step back a bit and consider the types of questions that researchers can and cannot ask.

#### The Nature of Science

Biologists ask questions about organisms, just as physicists and chemists ask questions about the physical world or geologists ask questions about Earth's history and the ongoing processes that shape landforms.

No matter what their field, all scientists ask questions that can be answered by observing or measuring things—by collecting data. Conversely, scientists cannot address questions that can't be answered by observing or measuring things.

This distinction is important. It is at the root of continuing controversies about teaching evolution in publicly funded schools. In the United States and in Turkey, in particular, some Christian and Islamic leaders have been particularly successful in pushing their claim that evolution and religious faith are in conflict. Even though the theory of evolution is considered one of the most successful and best-substantiated ideas in the history of science, they object to teaching it.

The vast majority of biologists and many religious leaders reject this claim; they see no conflict between evolution and religious faith. Their view is that science and religion are compatible because they address different types of questions.

- Science is about formulating hypotheses and finding evidence that supports or conflicts with those hypotheses.
- Religious faith addresses questions that cannot be answered by data. The questions addressed by the world's great religions focus on why we exist and how we should live.

Both types of questions are seen as legitimate and important.

So how do biologists go about answering questions? After formulating hypotheses, biologists perform experimental studies, or studies that yield descriptive data, such as observing a behavior, characterizing a structure within a cell by microscopy, or sequencing rRNA. Let's consider two recent examples of this process.

#### Why Do Giraffes Have Long Necks? An Introduction to Hypothesis Testing

If you were asked why giraffes have long necks, you might say based on your observations that long necks enable giraffes to reach food that is unavailable to other mammals. This hypothesis